

Merging Electronic Health Record Data and Genomics for Cardiovascular Research

A Science Advisory From the American Heart Association

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Abstract—The process of scientific discovery is rapidly evolving. The funding climate has influenced a favorable shift in scientific discovery toward the use of existing resources such as the electronic health record. The electronic health record enables long-term outlooks on human health and disease, in conjunction with multidimensional phenotypes that include laboratory data, images, vital signs, and other clinical information. Initial work has confirmed the utility of the electronic health record for understanding mechanisms and patterns of variability in disease susceptibility, disease evolution, and drug responses. The addition of biobanks and genomic data to the information contained in the electronic health record has been demonstrated. The purpose of this statement is to discuss the current challenges in and the potential for merging electronic health record data and genomics for cardiovascular research. (*Circ Cardiovasc Genet.* 2016;9:193-202. DOI: 10.1161/HCG.0000000000000029.)

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Electronic health records (EHRs) have assumed a major role in medical practice in the United States.¹ EHRs also have the potential to accommodate genetic and genomic data in a manner similar to how they handle clinical laboratory data. Including genomic data with the clinical information in EHRs provides the potential to improve our understanding of the underlying mechanisms of health and disease and to improve the overall care of patients. The announcement of the Precision Medicine Initiative by the US government reaffirms the change

in patient care on the horizon.^{2,3} In this report, we summarize the existing landscape and current hurdles of genomic research in cardiovascular disease in the era of the EHR.

Genetic Findings in Cardiovascular Research: Historical Perspective

The goal of this advisory statement is to assess the utility of using the EHR for cardiovascular genetic research. Landmark genetic findings in cardiovascular research have come from

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both single-center studies and large consortia. In the majority of these studies, DNA from blood samples in a biorepository was linked to clinical information in either a paper medical record or a study record or file. We are moving to an era of EHR-coupled biobanks.

An EHR-coupled biobank is a bank of biological specimens linked to data in the EHR. The biological samples can be DNA or other biospecimens such as serum, plasma, or tissue. The nature of the clinical information varies widely. For example, biobanks may be collections of convenience, derived by collecting samples from large numbers of patients with a single disease (heart disease, cancer, type 2 diabetes mellitus). Others are community based, and the phenotypic information is generally acquired by detailed structured questionnaires and examinations performed at enrollment and often at intervals thereafter. The development and increasing permeation of EHR systems into clinical practice environments provide another source of phenotypic information for biorepositories.⁴ Accrual models vary. In some instances, patients are recruited from specific practice sites (eg, internal medicine or specialty clinics), whereas other programs have adopted an “all-comers” approach. Advantages include the potential to accrue large and relatively disease-agnostic data sets. Initial studies support the concept that because genomic and phenotypic data are in place, large data sets can be generated more rapidly and inexpensively than in conventional clinical trials.⁵

The EHR may contain more clinical information than the earlier approaches. Genetic information may be coupled to the EHR for approved studies. Improved clinical data and juxtaposed access to genetic information may lead to better studies. In addition, EHRs supply large numbers of patients with longitudinal data that may improve the ability to separate true-positive from false-positive associations. A number of studies have now identified rare variants with remarkably large effect sizes for traits such as disease susceptibility,^{6–9} but large numbers are required to enable this approach. For example, EHR-derived data have helped identify single-nucleotide polymorphisms in 9p21 that are noted to be associated with early myocardial infarction and cardiovascular disease.¹⁰

One early concern about EHR-based genomic research was the robustness of the phenotypes that might be extractable. Multiple studies have now demonstrated the ability of electronic phenotyping to replicate known genomic signals and to identify new ones.¹¹ Many of these findings are ancestry specific, so future genomic research will require inclusion of multiple ancestries.

EHR-based biobanks have drawbacks. One drawback is that the subjects included are those who encounter a health-care system and thus may not represent an entire community. Some information such as dietary or family histories may not be systematically obtained in an EHR, although recognizing this shortcoming may be a first step to expanding the collection of such data in EHR systems. The ancestry representation in an EHR will reflect local patient populations; thus, creation of networks may be a mechanism to include multiple ancestries. A need exists in the EHR to develop efficient and effective methods to collect and record family history information

between patient charts and to allow the integration updates in family history into the EHR. Additionally, the absence of standardized human phenotype ontology is a drawback to deriving information from the EHR, and standardized human phenotype ontology needs to be developed in line with EHRs, especially as it pertains to hereditary diseases.¹² Finally, the development of standards for storing and displaying genomic information in an EHR is evolving, as well as the development of clinical decision support tools to advise EHR users on how to act on genomic variant data.

Consideration of the Patient

The use of the EHR for research involves a variety of stakeholders, including patients, researchers, clinicians, healthcare systems, and funders of clinical care and research. Given that the principal purpose of the EHR is to facilitate patient care and to improve patient outcomes, the interests of the patients are paramount in the consideration of the benefits, risks, and acceptability of EHR-based research. Patients are generally supportive of biomedical research involving “big data,” as evidenced by their positive view of such research in national surveys. For instance, in a survey of 4659 US adults, 73% of respondents indicated that they would be willing to release their medical records for research, and 84% supported the idea of large, national cohort studies.¹³ A study conducted by the Patient Centered Outcomes Research Institute found that 66% of patient-respondents had interest in engaging in research, and 83% felt that direct involvement with investigators could lead to more valuable research.¹⁴ Nevertheless, as the field continues to progress, understanding the role of the patient in the process is essential. It is important for participants to be aware that the personal benefits to patients for involvement in EHR-based genomic research are uncertain and most likely societal in nature. Use of healthcare data in research generally raises specific privacy concerns on the part of participants; thus, EHR-coupled biobanks have undergone extensive review, usually involving not only local ethical review groups, but also community consultation.

New Models

Initial studies support the concept that because genomic and phenotypic data are in place, large data sets can be generated more rapidly and inexpensively than in conventional clinical trials or cohort studies.⁵ The recently described experimental approach of phenome-wide association study seems especially well suited to EHR-based biobanks.¹⁵ In contrast to a conventional genome-wide association study, which interrogates in an unbiased fashion multiple genomic variants as a function of a target phenotype, phenome-wide association study interrogates multiple phenotypes as a function of a target genotype. The phenotypes interrogated to date have been based on diagnostic codes, but in the future, more sophisticated approaches may be used to diagnosis. Phenome-wide association study not only can replicate known genetic associations but also can identify multiple phenotypes associated with specific genomic variants.

In 2007, the National Human Genome Research Institute created the Electronic Medical Records and Genomics

Network, which now includes 10 EHR-based DNA repositories and >350 000 subjects.^{11,16} The Electronic Medical Records and Genomics Network has demonstrated that phenotype definitions can be successfully deployed across multiple EHR systems.^{4,17} As of June 2014, the Kaiser Permanente Northern California Research Program on Genes, Environment and Health biobank¹⁸ included ≈200 000 consented subjects with saliva or blood samples linked to comprehensive longitudinal EHR data and self-reported demographic and behavioral information. A subset of 110 266 of these individuals have genome-wide genotype and telomere length data available, forming the Genetic Epidemiology Research on Adult Health and Aging cohort. Data from 78 486 of these individuals are now available through dbGaP (dbGaP Study Accession; phs000674.v1.p1).

A number of other resources have coupled DNA or other biosamples to the EHR in >100 000 subjects. One example is Vanderbilt BioVU, which has pioneered an “opt-out” model in which DNA extracted from discarded blood samples obtained during routine care is coupled to deidentified EHRs.¹⁹ The BioVU program is accompanied by extensive publicity, including a formal opt-out opportunity provided to patients yearly.²⁰ The opt-out approach has the advantage of scale (>185 000 subjects as of June 2014). The Precision Medicine Initiative will likely lead to important changes in the regulatory framework and paperwork that encourage patient participation.²

Biobanks based in countries with EHRs and single-payer systems such as the Danish national biobank²¹ also have the potential to generate large data sets. The US Million Veterans Program²² and the Kadoorie Biobank in China²³ are population-based cohorts with 500 000 subjects that are working to couple to national EHR systems. Although the UK Biobank²⁴ was not originally created with a link to EHRs, health outcome data are being added to the resource.

Collecting Data for Research in the EHR

Hospital and clinical practice environments are complex systems with multiple streams of data. The EHR is a mix of structured and narrative text data. Structured data (entered into a designated data field or using a controlled vocabulary) typically consist of billing codes, laboratory tests, medication prescriptions, and certain standardized document elements (eg, height, weight, vital signs, problem lists). EHR billing codes include diagnosis-related groups to categorize hospitalizations, *International Classification of Disease* (ninth revision, soon to be replaced by the 10th revision) codes to describe diagnoses and morbidities, and Current Procedural Terminology codes to describe procedures. Narrative or text data constitute the bulk of provider notes, especially those portions entered as “free” or unstructured text; the technique of natural language processing can be used to create structured data sets from notes such as these. The EHR may also contain scanned data in analog form such as a radiographic image or scanned text document, but these forms cannot easily be searched for content.

The counterpart to EHR data is administrative data, which include data on healthcare delivery, insurance enrollment, and claims data for reimbursement. Administrative

data are generally structured and often encompass a large number of patients, making them historically attractive as a source for analyses. The most widely used administrative data set is likely from the Centers for Medicare and Medicaid Services, which has been widely used for outcomes research. There is a worldwide effort to develop standardized terminologies that facilitate interoperability, unambiguous data exchange, and interpretation across various sources of healthcare data. The Appendix provides an in-depth review of interoperability.

There is a need for structured data elements in clinical documentation to ensure more robust data collection that will allow pooling of data across providers and institutions. Systematized Nomenclature of Medicine–Clinical Terms is a hierarchical data set of >311 000 concepts covering much of medicine, including symptoms, diagnoses, procedures, anatomical locations, and medications. Systematized Nomenclature of Medicine–Clinical Terms cross-maps to other terminologies such as Logical Observations Identifier Names and Codes, a universal set of codes for laboratory tests, vital signs, survey instruments, and other clinical measurements; RxNorm for pharmaceuticals; and *International Classification of Disease* billing codes. New data standards produced by the American College of Cardiology/American Heart Association Task Force on Data Standards will be linked to Systematized Nomenclature of Medicine–Clinical Terms, Logical Observations Identifier Names and Codes, and RxNorm. In the future, such data standards will be machine ready, reducing the need for coding from scratch for each application. These clinical data standards from the task force can then be used for registries, clinical trials, and structured data within EHRs. Mapping clinical data standards to Systematized Nomenclature of Medicine–Clinical Terms, Logical Observations Identifier Names and Codes, and RxNorm (and in parallel mapping administrative data to these same sets of terms) allows interoperability between clinical and administrative data to be achieved. Interoperability on this scale remains a conceptual model to be implemented over the next several years.

Adding Genomics to the EHR

Principal among the relevant limitations and risks for merging genomic data with EHR data for research purposes are computational burden and information security versus accessibility. Fully processed genome-wide genotyping data can be quite large, roughly 1.5 to 2 MB per person. DNA sequencing and RNA sequencing have storage requirements that easily jump into the terabyte range for even a small number of samples.²⁵ High-level genomic analysis is computationally demanding, often requiring specialized computing infrastructure even for relatively focused projects. In addition, the EHR needs to have the capacity to handle files that can be several gigabytes in size so that the clinical care, for which the EHR is designed, does not become impeded by these oversized genomic files.⁴

Data security breach is an important concern, and the need for access to information and the goal of keeping it secure are often at odds. Genetic data breaches, particularly if comingled with clinical data, might be expected to be even larger

in scale, taking into account the inherently identifiable nature of DNA.²⁶

The ideal situation may be one in which genomic data are stored along with the EHR data. This would give the broadest access, enabling widespread use and collaboration (clinicians, scientists, patients) and, we hope, readying the infrastructure for a future in which genetic data routinely enhance clinical care. This approach has been used at some centers, for example, in association with the Electronic Medical Records and Genomics consortium,²⁷ but it requires substantial investment to handle the computational burden²⁸ and some accommodations in terms of information security. With widespread accessibility and given its sensitivity and vastness, one approach is to deidentify the data sets. Thus, the ethical and breach issues are somewhat mitigated because subjects are not easily identified. With this compromised format, however, identifying subjects for additional study or data collection would be difficult or impossible.

An alternative strategy is to take a more incremental approach and keep the 2 data sets separate, bringing them together in analytic subsets of data, unified for specific analyses. This allows limitation and stricter control of who has access to the genomic data and a more insular storage format (ie, these data do not need to be connected to clinical data sources or network), more easily allowing heightened security.

From a practical standpoint, it is often simpler to keep the genomic data separate from the clinical EHR and to create a devoted repository that can be directed at specific projects and questions as needed. There is growing interest in and application of unified genomic and clinical data sets for research, and it is likely that this approach will be increasingly used as some of the challenges are overcome and the current pioneering centers become templates for others. Another facet that will be addressed as more centers emerge is the development of genomic data harmonization standards to facilitate collaborations.²⁹ Developing standards on the genomic side will facilitate cross-validation of findings and the construction of mega data sets.

Can Clinicians Use EHR and Genomics for Improving Patient Care?

Clinical pharmacogenetics provides information on the role of genetic testing to improve patient care. Some genetic variants have already reached the point of clinical utility for drug prescribing.^{30–35} The goal of implementing pharmacogenomic testing is to improve outcomes for patients by minimizing the use of ineffective medications or ineffective doses and to minimize adverse effects. Pharmacogenomic research has identified some genes linked to efficacy or adverse effects of specific drugs. Several centers now use multigene sequencing or array-based methods to interrogate genomic variation for a variety of purposes, and such results are increasingly finding their way into the EHR. It is anticipated that data from such systems may be useful for identifying healthcare benefits. Thus, clinicians are faced with the challenge of knowing which gene variants are actionable for guiding prescribing, even gene variants that may have been identified as incidental to the primary purpose of the genetic testing.

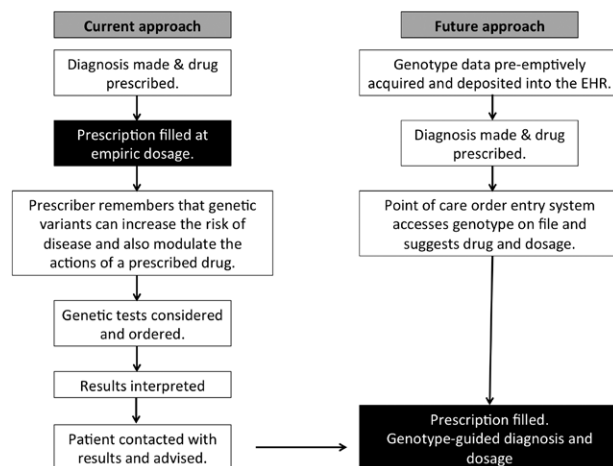


Figure. Current and future approaches to filling prescriptions. Genetic test results obtained from electronic health records (EHRs) could guide diagnosis and suggest drug dosages.

The Clinical Pharmacogenetics Implementation Consortium has prioritized genes and drugs that are actionable, maintains an updated list of these genes and drugs,³⁶ and has developed a number of clinical guidelines for using pharmacogenetic test results to guide prescribing,^{37–43} including the prescription of several cardiovascular agents.^{44–48} Clinical Pharmacogenetics Implementation Consortium guidelines do not address whether clinicians should order genetic tests but rather how to use genetic test results to guide prescribing. Thus, these guidelines are consistent with the notion of preemptive genomic testing: the generation of genetic test results before the need to use the results for specific drug-prescribing decisions. Several clinical sites are now performing some form of preemptive pharmacogenetic testing, which capitalizes on EHRs for the process of clinical implementation.^{49–52} An EHR is needed to accommodate the active and passive clinical decision support that is important for interpreting and acting on genetic test results.^{53,54} Such systems must be extensible to allow reinterpretation of genetic test results as new knowledge is generated. Active interruptive clinical decision support can alert the prescriber in the pretest state (a high-risk drug is being contemplated but the appropriate genetic test is not yet available in the EHR) and in the posttest state (a high-risk genetic test result is already in the EHR).⁵⁴ In the future, interoperability of EHRs could allow genetic test results to be available at all relevant points of care, including dispensing pharmacies and outpatient clinics (Figure).

Preemptive testing has the advantage of being available at the time of prescribing; there is no need to wait for the genetic test result. On the other hand, genetics will not solve all prescribing problems. Of the ~1000 US Food and Drug Administration–approved molecular drug entities, only ~60 to 100 are candidates for clinical actionability on the basis of germline genetic testing. Although every individual could harbor at least 1 high-risk genotype,⁵¹ the chance that any individual has a high-risk genotype and receives a high-risk drug is low. Data from preemptive genotyping programs will help address the utility of the approach. In addition, even for pharmacogenetically high-risk drugs, other factors such as

Table. Advantages and Disadvantages of Informed Consent Versus Waiver of Consent Models for Biobanking

	Approach	Pros	Cons
Informed consent	Participant signs explicit informed consent form.	Most ethically rigorous. Ability to recontact participant. ^{63,64}	Requires more time, effort, and infrastructure. Generally yields lower number of participants.
Waiver of consent	IRB grants waiver of consent. Language may be inserted into permission to treat form with opt-in/opt-out check box.	Ability to accrue large numbers of specimens, particularly under opt-out model.	Individual cannot be recontacted.

IRB indicates institutional review board.

concurrent illnesses, drug-drug interactions, poor adherence to therapy, age, nutrition, and liver and renal function have critical effects on the probability of efficacy and toxicity. The cost-effectiveness of preemptive testing is not well defined, although with the declining cost of genomic testing⁵⁵ and the development of computational systems with active clinical decision support,⁵⁴ there could potentially be a role for preemptive genotyping for personalized medicine.⁵⁶ However, the ethics and medicolegal aspects surrounding this issue need to be addressed. Another issue worth considering is the rapid flux in the interpretation of genetic variants and who has responsibility for providing updated, dynamic information to patients and their providers on the identification of implicated genes.

Institutional Review Boards and Participant Consent

Institutional review boards (IRBs) are charged with ensuring the moral, legal, and ethical treatment of human research participants. This mandate includes regulation of tissue samples and genetic data. Local IRBs must ensure that data collection and distribution procedures comply with ethical standards. They must ensure that the needs of all stakeholders, including patients and their families, are considered in all aspects of the decision-making process.^{57,58} One of the largest challenges in genomic medicine is developing a series of best practices that will unify local IRB policies to facilitate sharing of EHR data across national and international biorepositories. Two Electronic Medical Records and Genomics working groups have used cross-disciplinary strategies to approach this problem. The Genetics Research and Review Project consortium was designed with the goal of documenting current issues associated with genetic and genomic research among local IRBs, genetic researchers, and bioethicists and developing strategies to overcome current challenges facing IRBs.^{58–61} The Consent, Education, Regulation, and Consultation Working Group was developed in response to these challenges, and it is currently developing a core set of genomic research review guidelines for local IRBs.^{27,59,62}

Approaches to the creation of large biorepositories vary and have their unique advantages and disadvantages (Table).^{20,65} It is important to create standards in data sharing from biorepositories, and much of these standards could be modeled from the sharing of clinical trial data, although there are unique aspects of biorepositories, especially pertaining to consent, that are not present in clinical trials.⁶⁶ Therefore,

engaging and educating all stakeholders, especially community members, in the biorepository planning and implementation processes are crucial for the successful integration of genomic medicine with EHRs.⁵⁷

Data Analytics and Visualization

For analyses of medical big data to be valid and relevant, key characteristics of the analytics environment itself must be present. The analytics environment is tightly integrated into the existing data architecture.⁶⁷ Key properties must be in place to help ensure the applicability of analytic findings to both specific individuals and populations and to extend and scale the analyses beyond singular applications^{68,69} (Appendix, Table A1). Given the complexity of both clinical and genomic data, computerized clinical decision support has been proposed to assist clinicians in making decisions based on genomic data.⁶⁹ Results from analyses need to be presented in an appropriate format that is easily understood and applied. This is true whether the target audience is a genomics researcher, a genetics specialist, a (nongeneticist) clinician, or a patient. At least 3 categories of report types can be envisioned—the traditional laboratory report, embedded clinical decision support, and advanced types of reporting (external to the EHR)—that leverage visualization approaches to illustrate complex analyses and findings.

The traditional laboratory analysis approach to reporting data, text reports inclusive of interpretation, are already widely applied to genomics data. Similar to pathology reports, these are created largely as unstructured text. The logical evolution is for this style of report to evolve into partially structured, synoptic reporting that represents text information as discrete data elements.^{70,71} With synoptic reporting, the free text is associated with meta-data and placed in a structured format, with the advantage that converting these into clinical document architecture documents should be relatively straightforward.

For the clinical decision support use case, the presentation of complex aggregate analyses requires that several issues be addressed.⁷² The analyses must be delivered at the point of care via the EHR system as part of normal clinician workflow, not through a separate system.⁷³ Ideally, this would be accomplished with the use of rules-based decision support system standards currently being deployed such as the Clinical Quality Framework.⁷⁴ Furthermore, authoritative resources will be needed for reference purposes to identify whether a

variant is pathological and the significance and meaning of the variant (Appendix, Table A2).

Multifactorial, multidimensional representations of complex relationships between clinical parameters and genomics data are likely the domain of advanced visualization approaches beyond the native capabilities of conventional EHR systems. Interaction with graphically presented data provides users the opportunity to instantaneously alter perspectives and model findings but generally requires proprietary engines to accomplish this degree of interactivity.⁷⁵ The recent availability of EHR-generated rich phenotype data allows new approaches to visualization of potential disease-gene associations through phenome-wide association study.⁷⁶

Future Directions

The document-centric storage paradigm of current-generation EHR systems does not scale to allow the storage and retrieval of raw “omic” data.⁷⁷ For the foreseeable future, it is anticipated that dedicated omic ancillary systems will be required, analogous to how picture archiving and communication systems store the raw image data for radiology and cardiology with only the interpretive report being posted to the EHR. In the case of omic data, whereas the germline genetic sequence of an individual remains largely static over time, the interpretation of the sequence is a reflection of our level of understanding. Given that our knowledge is rapidly expanding, the ability to reanalyze and adjust

the representation of the source sequence data is an added requirement of omic ancillary systems. For single-nucleotide variants, big data from EHRs can be useful for looking at prevalence and distribution and, with follow-up data, for looking at the ability of single-nucleotide variants to predict events. For polygenic disorders such as coronary disease, hypertension, and type 2 diabetes mellitus, big data, perhaps with risk calculators based on genome-wide association study data and mathematical risk models connected to the EHRs, will be useful for establishing risk of disease and outcomes.⁶⁷ In both cases, EHR genetic data can help define groups for randomized clinical trials of multiple types. Additionally, it would be exciting in the future to provide patients with information as it pertains to their genomics and to enable shared decision making through patient portals in the EHR.

Summary and Conclusions

The rapid pace of advancement in the field of genomics and the growth in adaptation of EHRs and the data-handling potential of information technology offer great promise for combining these resources to increase our understanding of cardiovascular genomics and, in turn, to transform cardiovascular care. In this advisory, we address the standards as they currently exist and we have laid out a framework to guide clinicians, researchers, and patients on the potential contributions to cardiovascular health that can be made by combining genomic data with information from the EHR.

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*Modest.

†Significant.

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*Significant.

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