

# Social Determinants: Alternative Drivers of Healthcare

August 17, 2017 2 pm – 3 pm ET



### Agenda

#### Welcome and Introductions

• Jennifer Covich Bordenick, CEO, eHealth Initiative

#### Discussion & Comments

- Jeffrey D. Colvin, MD, JD
  - Associate Professor of Pediatrics at the University of Missouri-Kansas City School of Medicine and physician and Director of Research within General Academic Pediatrics at Children's Mercy Hospitals and Clinics (CMH)
  - Tanuj K. Gupta, MD, MBA
    - Senior Director and Physician Executive, Population Health at Cerner Corporation

#### Questions & Answers



## Housekeeping Issues

- All participants are muted
  - To ask a question or make a comment, please submit via the Q&A feature and we will address as many as possible after the presentations.
- Technical difficulties:
  - Use the chat box and we will respond as soon as possible
- Today's slides will be available for download on eHI's Resource page www.ehidc.org/resources





# Multi-stakeholder Leaders in Every Sector of Healthcare



























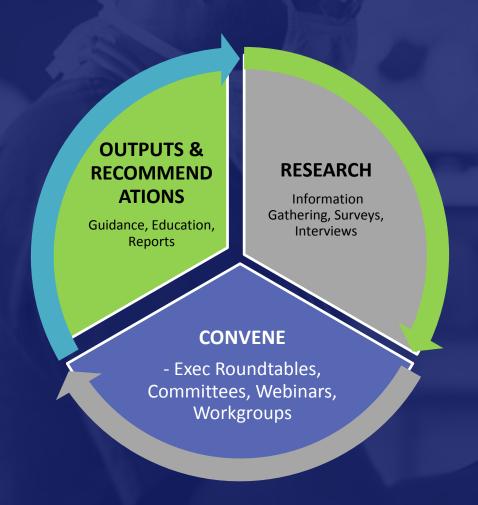








## Roadmap to Transforming Care





Convening
Executives
To Research
& Identify
Best
Practices

Best Practice
Committees
Identify &
Disseminate
Success Stories



**INTEROPERABILITY** 



**DATA ACCESS & PRIVACY** 



PATIENT & PROVIDER
TECHNOLOGY ADOPTION



**DATA ANALYTICS** 



# eHealth Resource Center Available With Best Practices & Findings

Best Practice Committees contribute to the eHealth Resource Center www.ehidc.org/resources which provides assistance, education and information to organizations transforming healthcare through the use of information, technology and innovation. The Resource Center is a compilation of reports, presentations, survey results, best practices and case studies from the last 16 years.



# Electronic Medication Adherence Collaborative (eMAC)



- Foundation for eHealth Initiative launched a multi-stakeholder Electronic Medication Adherence Collaborative (eMAC).
- Share best practice examples from different analytical and behavioral approaches, educate stakeholders on the insights available. Share information on the effectiveness of programs.
- IN PERSON MEETING ON SEPTEMBER 19 IN DC. INTERESTED?
   TELL CLAUDIA.ELLISON@EHIDC.ORG





# Save the Date: February 7 – 8, 2018 Top of the Hill, Washington, DC

# eHealth Initiative Executive Summit: 2020 Roadmap Refresh



Attendance is limited to eHealth Initiative members and invited C-Level Executives



This webinar was made possible through the generosity and support of Cerner!



### Meet the Speakers





Tanuj Gupta, MD, MBA
Senior Director and
Physician Executive,
Population Health,
Cerner Corporation



Jeffery D. Colvin, MD, JD
Associate Professor of
Pediatrics, University of
Missouri-Kansas City
School of Medicine;
Physician, Director of
Research, General
Academic Pediatrics,
Children's Mercy Hospitals
and Clinics (CMH)

# Addressing the Social Determinants of Health: A Physician's Perspective

Jeffrey Colvin, MD, JD
Associate Professor of Pediatrics
Director of Research,
General Academic Pediatrics









#### The Elephant in the Exam Room

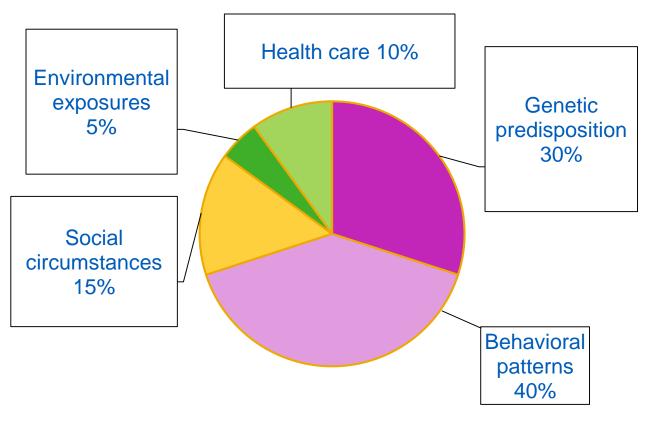
#### "Health Care's Blind Side"

- 85%: Unmet social needs are directly leading to worse health
  - 87%: all patient populations are affected—not just low income populations
- 85%: Social needs are as important to address for overall health as medical conditions

Health care's blind side: The overlooked connection between social needs and good health, summary of findings from a survey of America's physicians. Princeton, NJ: Robert Wood Johnson Foundation; 2011. (survey of 1000 American primary care physicians)



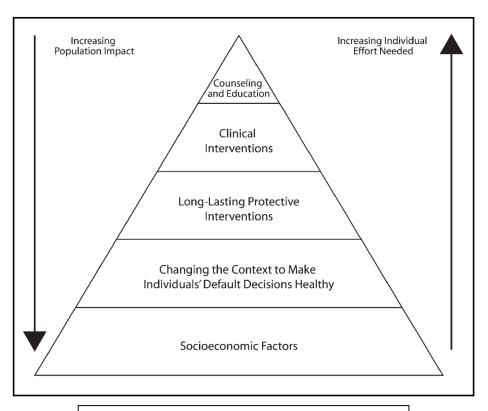
#### The Contribution of Unmet Social needs



Schroeder SA. N Engl J Med 2007



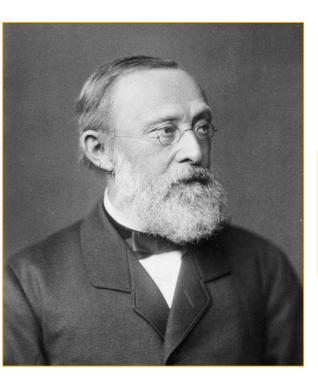
#### **Social Needs: The Foundation for Health**



Frieden. Am J Pub Health. 2010.



#### This is Nothing New



Rudolf Virchow (1821-1902)

## Upper Silesia (Prussia, German Empire, Poland) Typhus Epidemic, 1848:

Poverty, Famine, War, Immigrants, Education, Oppression

"If medicine is to fulfill her great task, then she must enter the political and social life... The physicians are the natural attorneys of the poor, and the social problems should largely be solved by them."

Mackenbach JP. J Epi Comm Health 2015

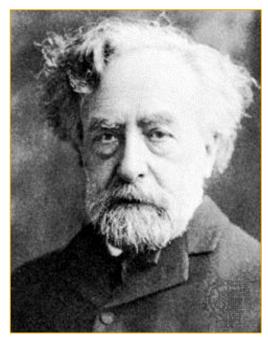


#### This is Nothing New

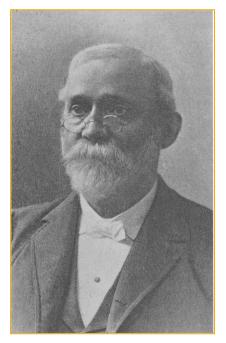
#### The Fathers of Modern American Pediatrics:

- Abraham Jacobi
  - "Paediatrics"
  - Mortality disparities
     between children in poor
     & wealthy families
- Job Lewis Smith
  - Described association between conditions in the shanties of poor laborers' families and diarrhea

Palfrey, Child Health in America 2006; Yankauer, Pediatrics 1994



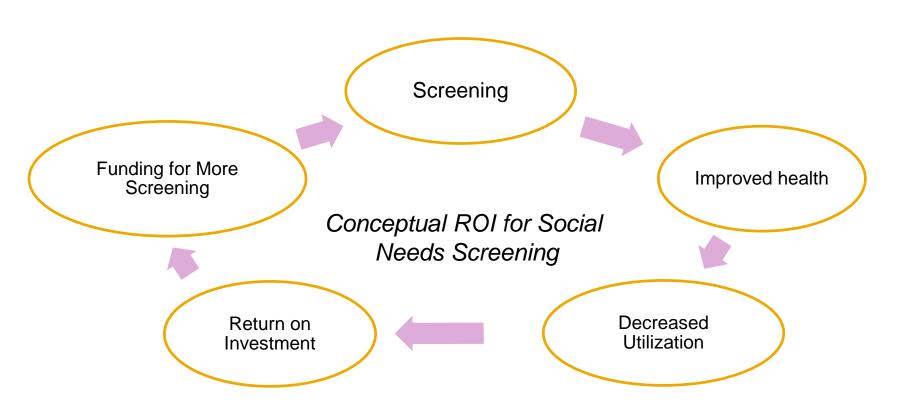
Abraham Jacobi (1830-1919)



Job Lewis Smith (1827-1897)



### **Unmet Needs: Beyond Health**





#### **But What Do Patients Think?**

- "I can ask my child's doctor for help with social issues like . . . . ": 67%
- "I believe that my child's doctor knows how to help me with social issues like. . . . ": 70%

Garg, et al. Clin Peds 2009. Survey of 100 parents at a pediatric clinic in Baltimore.



#### **But What Do Patients Think?**

 Survey of 143 parents of children hospitalized at Children's Mercy Kansas City

Characteristic		I can ask my child's doctor for help with social issues*		My child's doctor knows how to get me help with social issues*		My child's doctor should ask me about social issues	
		Disagree	Agree	Disagree	Agree	Disagree	Agree
Study Population		10.5%	54.5%	10.5%	64.3%	8.4%	71.3%
Screened by Resident**	Yes	4.8%	76.2%	2.4%	81.0%	7.1%	85.7%
	No/Unsure	12.9%	45.5%	13.9%	57.4%	8.9%	65.3%

<sup>\*</sup>Questions borrowed from A. Garg, MD with permission.

Colvin JD, et al. Academ Pediatr 2016.



<sup>\*\*</sup>All comparisons p<0.05; Neutral responses not shown.

#### **But, Will Some Patients Be Offended?**

Characteristic	I can ask my child's doctor for help with social issues*		I DAW TA GET ME DEID WITH		My child's doctor should ask me about social issues	
Census Tract Median Household Income**	Disagree	Agree	Disagree	Agree	Disagree	Agree
Highest (>\$66k)	8.6%	48.6%	11.4%	54.3%	8.6%	74.3%
Lowest (<\$39k)	8.6%	62.9%	8.6%	71.4%	5.7%	82.9%

<sup>\*</sup>Questions borrowed from A. Garg, MD with permission.

Colvin JD, et al. Academ Pediatr 2016.



<sup>\*\*</sup>All comparisons p>0.05; neutral and middle-income responses not shown.

#### Back to the Elephant in the Exam Room

#### "Health Care's Blind Side"

- 80% of physicians are not confident in their ability to address patients' social needs
- 1 in 7 "prescriptions" would be for social needs

Health care's blind side: The overlooked connection between social needs and good health, summary of findings from a survey of America's physicians. Princeton, NJ: Robert Wood Johnson Foundation; 2011. (survey of 1000 American primary care physicians)

### The times they are a changin'

#### Social Interventions Research & Evaluation Network

SIREN's mission is to catalyze and disseminate high quality research that advances efforts to address social determinants of health (SDH) in health care settings.



Catalyzing high quality research



Collecting & disseminating research findings







Providing evaluation, research, & analytics consultation services



#### The basic idea



Income & Food Insecurity

Health Insurance

Poor Housing & Homelessness

Education

Safety & Violence

Other: Discrimination, etc.



#### The basic idea



Income & Food Insecurity

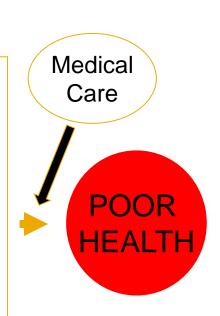
Health Insurance

Poor Housing & Homelessness

Education

Safety & Violence

Other: Discrimination, etc.



#### The basic idea

Detection & Intervention for Social Determinants



Income & Food Insecurity

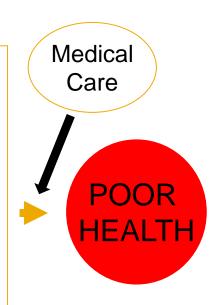
Health Insurance

Poor Housing & Homelessness

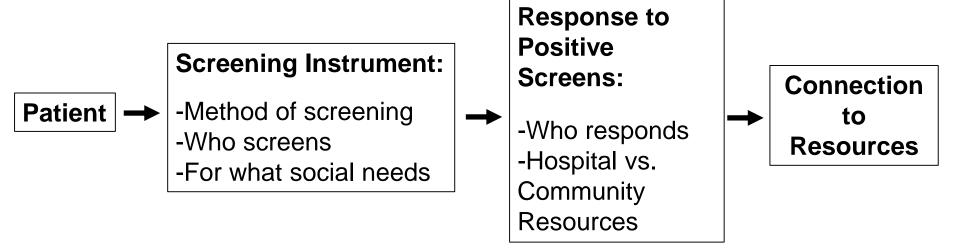
Education

Safety & Violence

Other: Discrimination, etc.



#### **How it works**





#### Screening: People & Process

#### Who & How?

- MD
  - Colvin, et al. Acad Peds 2016.
- Self Administered:
  - Tablet
    - iScreen: Gottlieb et al. JAMA Peds 2016
  - Paper-based:
    - WE CARE: Garg, et al. Pediatrics 2015.
  - Computer-based:
    - Online Advocate: Hasan, et al. Am J Prev Med 2015 (Fleegler).
- RN: admission/visit intake data collection



#### Response to Positive Screens

#### Who Responds?

- Social Work?
- Physicians and Nurses?
- Volunteers?

#### When is the Response?

- Every Day?: Inpatient is 7 days a week
- Around the Clock?: The ED is always open



#### **Connection to Resources**

- Medical-Legal Partnerships
- Health Leads
- WE CARE
- Online Advocate



#### **Medical-Legal Partnerships**

- Established in 1993 at **Boston Medical** Center
- AAP, AMA & ABA Resolutions

Why Pediatricians Need Lawyers to Keep Children Healthy

Barry Zuckerman, MD; Megan Sandel, MD, MPH; Lauren Smith, MD, MPH; and Ellen Lawton, JD

ABSTRACT. Pediatricians recognize that social and non-medical factors influence child health and that there are many government programs and laws designed to pro-vide for children's basic needs. However, gaps in imple-mentation result in denials of services, leading to prementation result in denials of services, leading to pro-ventable por health outcomes. Physician advocacy in these areas is often limited by lack of knowledge, ex-perience, and researches to intervent. The incroperation of the provision of crucial legal services to vulnerable families. Although social workers and case managers ply a criti-cal role in assessing family withinly and finding appro-tising the control of the properation of the properation of the state of the properation of the properation of the properation of layers in the clinical setting originated at an orban actionic model colors and its being projected at 2 with

team. The lawyer researched the local and state san-itary and housing code regulations, called the land-lord to inform him of his obligations to fix the pipe, clean up the mold, and remove the carpeting, and informed the landlord that the family would seek redress in court if he did not comply. The landlord immediately rectified the problems, leading to great improvement of the child's symptoms. In this article, we review the rationale for involving lawyers in children's health care, describe a prototypical model of practice, address barriers to its use, and discuss future funding and research challenges

RATIONALE FOR LAWYERS IN CLINICAL SETTINGS



#### Medical-legal partnerships: transforming health care

low incomes, are frustrated that their patients' against unhealthy environments, can result in adverse health is adversely affected by social determinants. effects on health.1 For those patients with an acute or chronic illness, social determinants undercut the effectiveness of the their own successfully challenge the unlawful actions of a burgeoning number of drugs and other treatments. landlord, a governmental agency, or a school system, and Although this problem is usually considered a therefore many unlawful-and unhealthy-situations public-health issue, experience in the USA and abroad persist. As a result physicians are now looking to lawyers suggests a new way to transform the health-care system as colleagues to "treat" the social determinants of health to address these social determinants: train lawyers to and medical-legal partnership is emerging as a key work as part of the health-care tearn to enforce the laws strategy to combat health disparities. For example, in and regulations that are in place to protect health.

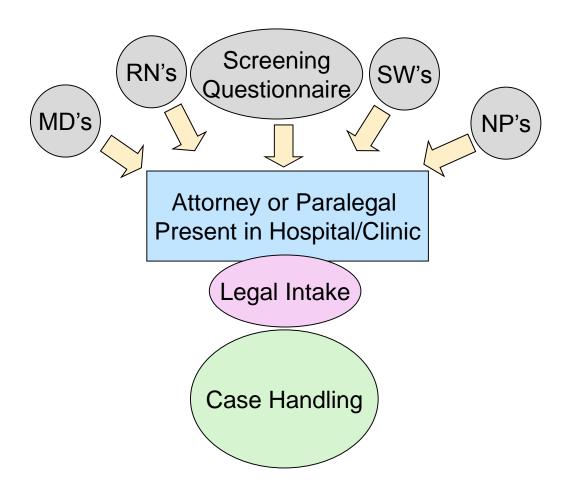
sidies, utility assistance, income support for the elderly the landlord and cite the housing and sanitary codes that and disabled individuals, regular and special education are being violated. Wrongful denial of benefits can be services, and health insurance, were created to ensure overturned. Typically, this type of legal intervention gets that basic needs are met. Unfortunately, safety nets results for patients without the intensive and expensive are now so complex and unwieldy that many parts of litigation often associated with legal services. Thus the net are rendered inaccessible, and the disregard of medical-legal partnerships have introduced the concept

Doctors, especially those who care for patients on laws and regulations, such as those intended to protect

Individuals and families on low incomes cannot on caseswhere a landlord ignores the pleas of a parent, nurse, Many governmental programmes and laws, including or doctor to fix the leaky pipe that is causing mould that programmes to supplement nutrition, housing sub-triggers a child's asthma, a lawyer has the skills to contact



#### **Medical-Legal Partnerships**





### **Health Leads**







#### **Health Leads**

- Randomized control trial of 1809 pediatric patients (primary care & urgent care)
- Written information on community services vs. inperson assistance from trained college student
- Results after 4 months:
  - Decreased social needs
  - Improved reported child health

Gottlieb, et al. JAMA Pediatrics 2016.



#### **WE CARE**

- WE CARE: Well Child Care, Evaluation,
   Community Resources, Advocacy, Referral, and Education
- Screening in the waiting room
- Screen given to PCP
- Family Resource Book: need-specific, 1-page tear-out information sheets of community resources

Garg, et al. Pediatrics 2015.

### **WE CARE**

- Clustered randomized control trial of 8 urban health centers (336 children)
- Increased enrollment in community resources, including:
  - Increased employment
  - Increased child care
  - Decreased homelessness

Garg, et al. Pediatrics 2015.



### The Online Advocate

- Self-administered, online screening instrument
- Links unmet needs to local resources within an agency database
- Recommended resources based on proximity to patient's home
- Printout includes agency contact information & hours, directions, and public transportation information
- Patients can also pick from a longer list of needs not identified Hassan, et al. Am J Prev Med. 2015.



### The Online Advocate

- 401 adolescents and young adults
- 78% had at least 1 social need identified
- 40% contacted the community agency
- 47% "completely" or "mostly" resolved the problem

Hassan, et al. Am J Prev Med. 2015.



### **The Center for Community Connections**

- Medical-Legal Partnership
- Health Leads-type navigation with BSWs
- WIC & SNAP enrollment
- Community health workers
- Mental health counsellors



## Thank you.



Jeffrey Colvin, MD, JD jdcolvin@cmh.edu



### Social Determinants of Health



Tanuj K. Gupta, MD, MBA

Senior Director and Physician Executive, Population Health

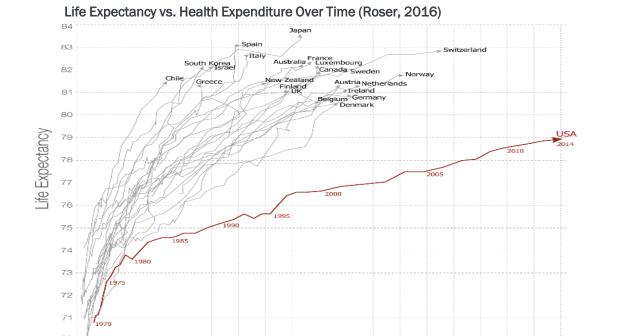
### Overview

- Making the Business Case for Social Determinants
- Integrating Into the Workflow
- Screening
- Connecting to Resources
- Working within a Community

**Business Case for Social Determinants** 

### State and Federal Government

The U.S. spends more money on health care than any other country, with federal, state and local governments accounting for 45.8% of total spend (CMS, 2015), yet performs the worst:



4.000\$

5.000\$

Health Expenditure
(adjusted for inflation and PPP-adjusted for price differences between countries)

500\$ 1.000\$

2.000\$

#### Sources:

9.0000\$

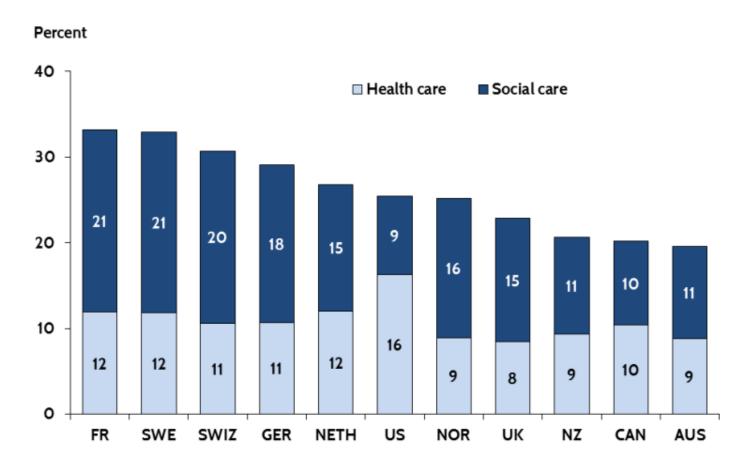
8.000\$

- Centers for Medicare and Medicaid Services (2016). NHE Fact Sheet. Retrieved from https://www.cms.gov/research-statistics-data-andsystems/statistics-trends-andreports/nationalhealthexpenddata/nhe-fact-sheet.html
- Roser, Max (2016). Link between health spending and life expectancy: US is an outlier. Blog post. Retrieved from: <a href="https://ourworldindata.org/the-link-between-life-expectancy-and-health-spending-us-focus">https://ourworldindata.org/the-link-between-life-expectancy-and-health-spending-us-focus</a>

6,000\$

7.000\$

### State and Federal Government



#### Sources:

 Butler, Stuart M., et. al. "Rebalancing medical and social spending to promote health: Increasing state flexibility to improve health through housing." Brookings Institute. Feb. 15, 2017. https://www.brookings.edu/blo g/up-front/2017/02/15/rebalancing-medical-and-socialspending-to-promote-healthincreasing-state-flexibility-toimprove-health-through-

housing/

### Managed Care

# Four Trends in the Future of Managed Care





Partnering with Providers
PCMH
ACOs CCOs
Shared Risk



Social Determinants of Health
Alignment with Communities
Focus on Prevention
Camden Coalition – like intervention



Continued Innovation
Improved Care Delivery
Greater Access
Consumer Engagement



Better Data and Analytics
Targeted Interventions
Better Predictions
Biometric / Genomic Data



Source: International Society for Quality of Life Research Conference, Vancouver, BC, Canada, October 2015

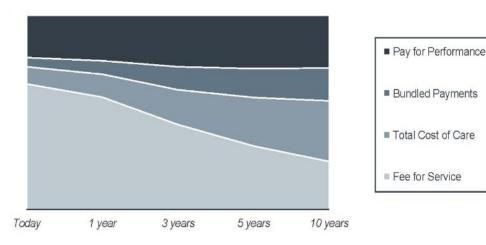
Source: Humana. 2017 Progress Report – Bold Goal. Accessed 4/15/2017. http://populationhealth.humana.com/documents/ Humana BoldGoal 2017 ProgressReportv2.pdf

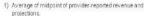
Source: Bankowitz, Richard A, EVP of American Health Insurance Plans (AHIP). "Future of Managed Care and Population Health." The Seventeenth Population Health Colloquium. March 27, 2017.

### Providers

As the U.S. healthcare system shifts from fee-for-service to fee-for-value, health systems and providers are accepting more risk-based contracts. The highest form of risk-based contract is full capitation, where hospitals or physician groups receive a fixed annual payment to provide all care for a member of their population. Lesser-risk contracts would include bundled payments for conditions such as joint replacements, Medicare shared-savings contracts, and bonuses or penalties for readmissions, patient satisfaction, or other quality measures (Barkholz, 2016).

#### Hospital Revenue Projections: Survey Average (Goldman, 2016)





#### Sources:

- Barkholz, Dave (2016). Under construction: Risk-based reimbursement. Modern Healthcare. Last Updated 6/18/2016. Retrieved from http://www.modernbaselthcare.com/orticle/20160619/MA
  - http://www.modernhealthcare.com/article/20160618/MAG AZINE/306189982
- Goldman, Jessie (2016). Mythbusters: The Path to Value-Based Care. The Advisory Board Company. Last Updated 8/18/2016. Retrieved from
  - https://www.advisory.com/research/health-care-industry-committee/the-bridge/2016/08/myths-on-value-based-care

Integrating Into the Workflow

### Aggregate and Normalize Data

 Aggregate data on social determinants of health from multiple sources:

Patients: Verbal, Email, Social

Media, Apps, HealtheLife

Care Team: Phone, Video, or In-Person

Data Capture via EHR or

HealtheCare

Technology Open Data Sources, Client

: Data Sources, Apps

#### **Social Determinants of Health**

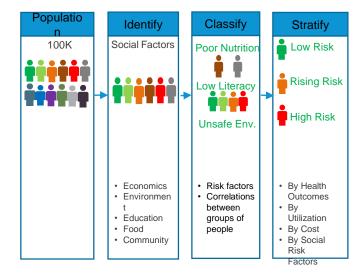


#### Image Source:

Healthy People 2020. Retrieved from <a href="https://www.healthypeople.gov/2020/topics-objectives/topic/social-determinants-of-health">https://www.healthypeople.gov/2020/topics-objectives/topic/social-determinants-of-health</a>

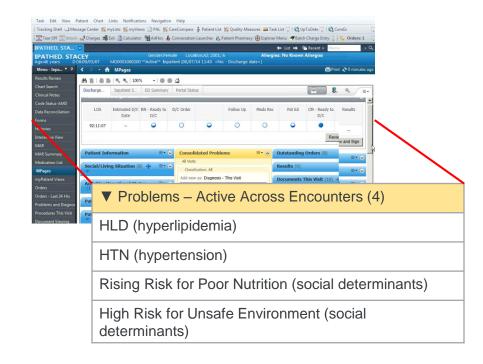
### Create Intelligence

- Create algorithms to:
  - Identify patients with risk factors related to social determinants of health
  - Classify patients into groups of people with correlating risk factors
  - Stratify patients based on health outcomes, utilization, cost, or other relevant factors into low risk, rising risk, and high risk subgroups
  - Attribute rising risk and high risk patients to the appropriate community resource or care provider



### Apply Intelligence

- Make it actionable for individuals, community resources, and care providers:
  - Clinical Content assessments, goals, and interventions for social determinants of health
  - Workflow structured data capture of social risk factors by beneficiaries, community resources, or care providers; integrate into regular workflow
  - Education patient-friendly content focused on overcoming social risk factors
  - Decision Support intelligent rules and alerts based on social determinants data



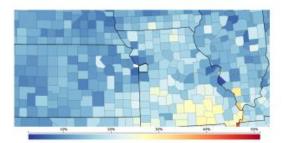
### Act and Measure

- Develop relevant reports and metrics around social determinants of health
- Develop prediction algorithms (e.g. determine risk level of new members to a population)

#### JACKSON COUNTY OVERVIEW

Measure	Sex	Value	National Rank	Change
Heavy drinking prevalence, 2012	Female	5.7%	1805	+1.6 pct points since 2005
Heavy drinking prevalence, 2012	Male	10.6%	1671	+2.7 pct points since 2005
Binge drinking prevalence, 2012	Female	12.1%	1882	+0.5 pct points since 2002
Binge drinking prevalence, 2012	Male	24.5%	1620	+1.3 pct points since 2002
Life expectancy, 2013	Female	79.8 years	1752	+2.4 years since 1985
Life expectancy, 2013	Male	73.9 years	2150	+3.7 years since 1985
Smoking prevalence, 2012	Female	23.2%	2019	-4.4 pct points since 1996
Smoking prevalence, 2012	Male	28.6%	2354	-3.8 pct points since 1996
Obesity prevalence, 2011	Female	42.9%	2506	+11.0 pct points since 2001
Obesity prevalence, 2011	Male	35.9%	957	+8.7 pct points since 2001
Recommended physical activity prevalence, 2011	Female	48.1%	1897	+2.3 pct points since 2001
Recommended physical activity prevalence, 2011	Male	55.5%	1146	+1.8 pct points since 2001

Figure 13: Prevalence of poverty, 2012



Screening

### **Existing Models**

#### Publicly available models available to study:

- 6 international models:
  - New Zealand
  - England
  - Scotland
  - Norway
  - Netherlands
  - New South Wales in Australia
- 4 domestic models:
  - ONC Certified EHR Technology (CEHRT)
  - · National Academy of Medicine
  - CMS
  - Healthy People 2020 (ODPHP)
- 3 domestic index models:
  - Robert Graham Center's Social Deprivation Index (SDI)
  - Virginia's Health Opportunity Index (HOI)
  - · Connecticut's Health Equity Index

### **EHR Certification Requirements**

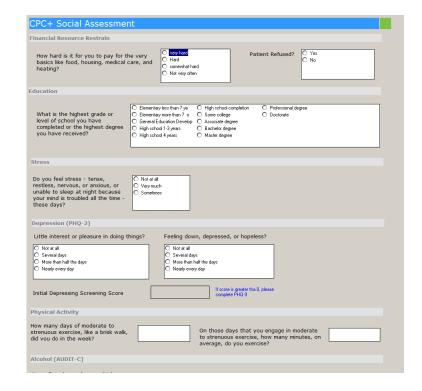
# <u>170.315(a)(15) regulations</u> for EHR Certification include a subset of the ONC domains:

- SOCIODEMOGRAPHIC DOMAINS
  - Social, psychological, and behavioral data
  - Financial resource strain
  - Education
- PSYCHOLOGICAL DOMAINS
  - Stress
  - Depression

- BEHAVIORAL DOMAINS
  - Physical activity
  - Alcohol use
- INDIVIDUAL-LEVEL SOCIAL RELATIONSHIPS & LIVING CONDITIONS
  - Social connection and isolation
  - Exposure to violence (intimate partner violence)

### **CPC+ Track 2 HIT Requirement**

- CMS's Social, Psychosocial, and Behavioral Assessment
  - 45 CFR 170.315(a)(15)
  - Includes questions around different social elements and aspects of a patients life
  - CPC+ Track 2 practices must adopt this by 1/1/19
  - Estimated Release Date: October 2017 packaged content release



### Pediatric Instrument Review

Domain	Instruments	Reviewed
Child Maltreatment	Child Trauma Questionnaire, History of Victimization Form, Kempe Family Stress Inventory, Adverse Childhood Experiences Questionnaire	3/4
Family Financial Support	U.S. Department of Agriculture Household Food Security Module (18 item) or 2 question screen	2/2
Intimate Partner Violence	Hurt, Insult, Threaten, Scream (HITS) tool, Partner Violence Screen, Women Abuse Screening Tool	2/3
Maternal Depression	PHQ-9, Edinburgh Postnatal Depression Scale	1/2
Household Substance Abuse	Safe Environment for Every Kid (SEEK), Survey of Well-Being of Young Children (SWYC), HEADSS (Home, Education & Employment, Activities, Drugs, Sexuality, Suicide/Depression) CRAFFT (Car, Relax, Alone, Forget, Friends, Trouble)	3/5
Parental Health Literacy	TOFHLA (Test of Functional Health Literacy in Adults), NVS (Newest Vital Sign), REALM, REALM-R (Rapid Estimate of Adult Literacy in Medicine), Single Item Literacy Screen, Three-item Brief Health Literacy Screen (BHLS), BRIEF Health Literacy Screening Tool (BRIEF)	6/6

Connecting to Resources

### Community Database & Referral Network



# Market enterprise software platform benefits:

- Social & community advancement towards CPC+/APM models
- Equip the community-care team with a database of community resources
- Identify and connect individuals at risk to community services within workflow
- Track community based referrals through a closed loop model

Working within a Community: Healthy Nevada Case Study

### Healthy Nevada



Population

Vernon County: 20,127

Nevada: 8,312

Poverty

People living below the poverty level: 21.7% Children living below the poverty level: 35.9%

**Economics** 

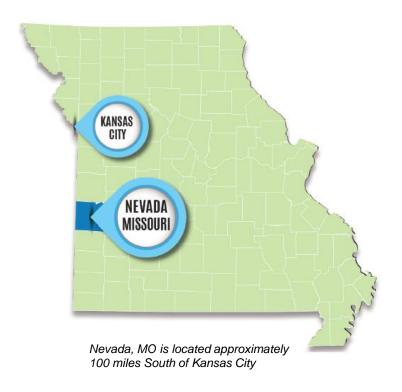
Median household income: \$33,161 (\$46,005)\*

Average wage: \$30,874 (\$40,856)\*

Education

High school graduate: 84% (86%)\*

Bachelor's degree or higher 14% (25%)\*



### Foundations of a Healthy Community





### Healthy Nevada Population Health Community Model

### **P** NEVADA, MISSOURI



More than 100 innovations were implemented to impact social determinants of health, reaching 70 percent of the population across the county, including:

#### **Environmental**

- Farmers market and pavilion
- New community trail
- Tobacco free organizations
- Transportation assessment
- Walking and bicycle routes

#### Social and economic

- Generational poverty course
- Grocery tours
- Healthy home education
- Mental health court
- Social support groups

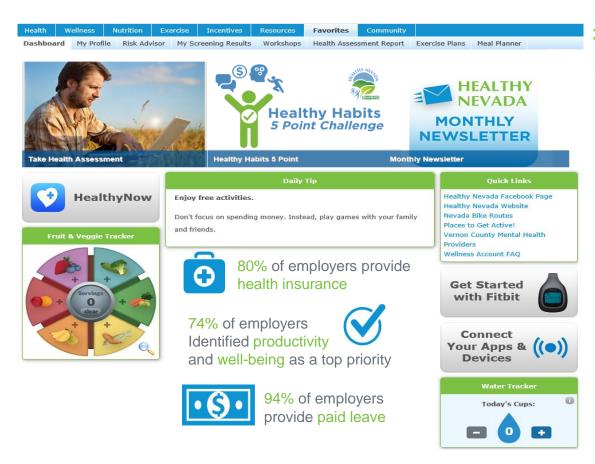
#### Clinical health and care

- Behavioral health services
- Diabetes empowerment
- Employer wellness
- Nutrition and exercise
- School-based education

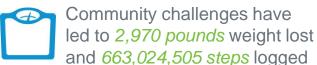
#### Human behavior

- Farm to table outreach
- Health challenges
- Healthy lifestyle leaders
- Wellness technology
- Youth tobacco awareness

### Healthy Nevada Wellness Account



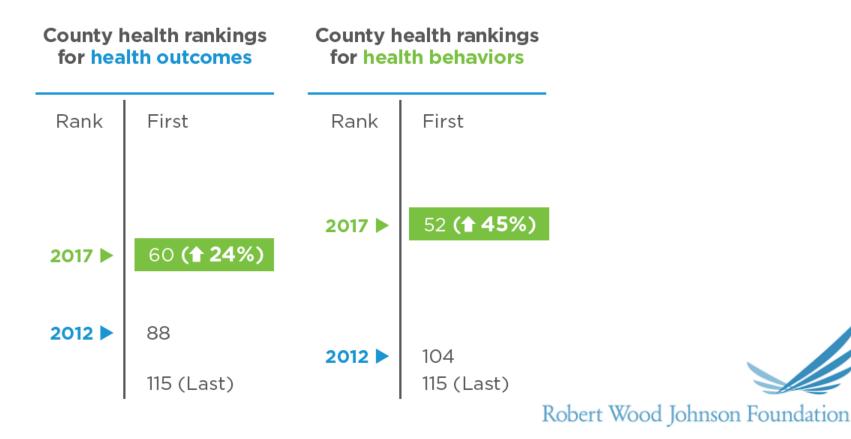
# 36 EMPLOYERS 3.395 LIVES



Personal Health Assessments
drive employee health efforts
96% completion among
small businesses

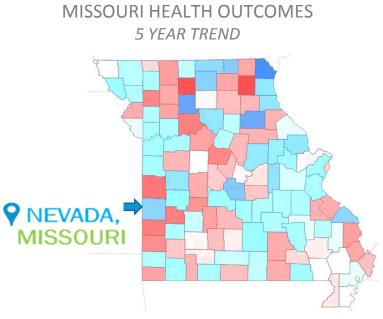


### Healthy Nevada – Vernon County Health Rankings



### Healthy Nevada Takes #2 Seed in Rural, Missouri





### For Additional Information

For more information email <a href="mailto:populationhealth@cerner.com">populationhealth@cerner.com</a>

# Q&A





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