



Patient safety after implementation of a coproduced family centered communication programme: multicenter before and after intervention study

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ABSTRACT

OBJECTIVE

To determine whether medical errors, family experience, and communication processes improved after implementation of an intervention to standardize the structure of healthcare provider-family communication on family centered rounds.

DESIGN

Prospective, multicenter before and after intervention study.

SETTING

Pediatric inpatient units in seven North American hospitals, 17 December 2014 to 3 January 2017.

PARTICIPANTS

All patients admitted to study units (3106 admissions, 13171 patient days); 2148 parents or caregivers, 435 nurses, 203 medical students, and 586 residents.

INTERVENTION

Families, nurses, and physicians coproduced an intervention to standardize healthcare provider-family communication on ward rounds ("family

WHAT IS ALREADY KNOWN ON THIS TOPIC

Interventions to improve communication between healthcare providers are associated with improved patient safety

However, effects on patient safety of interventions to improve communications between healthcare providers and patients/families have not been adequately studied in the inpatient setting

WHAT THIS STUDY ADDS

A team of physicians, nurses, and families coproduced an intervention to standardize rounds using high reliability structured communication that emphasized health literacy, family engagement, and bidirectional communication

Harmful errors decreased by 38% across seven North American academic hospitals after implementation of the intervention, although overall medical errors (harmful plus non-harmful errors) did not change

In addition, aspects of family experience and communication processes improved, without negative impacts on rounds duration or teaching on rounds

centered rounds"), which included structured, high reliability communication on bedside rounds emphasizing health literacy, family engagement, and bidirectional communication; structured, written real-time summaries of rounds; a formal training programme for healthcare providers; and strategies to support teamwork, implementation, and process improvement.

MAIN OUTCOME MEASURES

Medical errors (primary outcome), including harmful errors (preventable adverse events) and non-harmful errors, modeled using Poisson regression and generalized estimating equations clustered by site; family experience; and communication processes (eg, family engagement on rounds). Errors were measured via an established systematic surveillance methodology including family safety reporting.

RESULTS

The overall rate of medical errors (per 1000 patient days) was unchanged (41.2 (95% confidence interval 31.2 to 54.5) pre-intervention *v* 35.8 (26.9 to 47.7) post-intervention, P=0.21), but harmful errors (preventable adverse events) decreased by 37.9% (20.7 (15.3 to 28.1) v 12.9 (8.9 to 18.6), P=0.01) post-intervention. Non-preventable adverse events also decreased (12.6 (8.9 to 17.9) v 5.2 (3.1 to 8.8), P=0.003). Top box (eg, "excellent") ratings for six of 25 components of family reported experience improved: none worsened. Family centered rounds occurred more frequently (72.2% (53.5% to 85.4%) v 82.8% (64.9% to 92.6%), P=0.02). Family engagement 55.6% (32.9% to 76.2%) v 66.7% (43.0% to 84.1%), P=0.04) and nurse engagement (20.4% (7.0% to 46.6%) v 35.5% (17.0% to 59.6%), P=0.03) on rounds improved. Families expressing concerns at the start of rounds (18.2% (5.6% to 45.3%) v 37.7% (17.6% to 63.3%), P=0.03) and reading back plans (4.7% (0.7% to 25.2%) v 26.5% (12.7% to 7.3%), P=0.02) increased. Trainee teaching and the duration of rounds did not change significantly.

CONCLUSIONS

Although overall errors were unchanged, harmful medical errors decreased and family experience and communication processes improved after implementation of a structured communication intervention for family centered rounds coproduced by families, nurses, and physicians. Family centered care processes may improve safety and quality of care without negatively impacting teaching or duration of rounds.

TRIAL REGISTRATION

ClinicalTrials.gov NCT02320175.

Introduction

Medical errors are a leading cause of death and harm in patients worldwide. 1-4 Communication failures are in turn a leading root cause of sentinel events, the most serious harmful errors. Failures in many different communication processes in hospitals can lead to medical errors and patient harm. Interventions to reduce one type of communication failure—miscommunications during handoff of patient care responsibilities between healthcare providers at change of shift—have been associated with substantial improvements in patient safety. 6-9 Little is known about whether efforts to reduce other communication failures—particularly miscommunications between healthcare providers, patients, and families—could result in improved patient safety.

Rounds (also known as "ward rounds") are an important daily communication process in hospitals that occur between physicians and patients and families, sometimes also involving nurses and other healthcare staff. The goal of rounds is to formulate and communicate a shared understanding of the day's care plan for inpatients. How rounds are structured, who participates, and how they participate varies by provider, specialty, hospital, and country. In some places, rounds occur in a conference room without the patient or family present. 10 In other places, such as for decades now in the United Kingdom and more recently in North America, 11 12 rounds occur at the bedside with the patient's family present ("family centered rounds"). Even in family centered rounds, structure, involvement of families, and the extent to which effective communication is achieved can vary widely. Given the frequency and variability of rounds, communication failures during rounds could potentially be an important, under-recognized source of medical errors that harm patients. However, despite the ubiquity of rounds, rigorous, multicenter studies of rounds and interventions to improve them are lacking.

To address this key evidence gap, we carried out a multicenter study in seven North American hospitals to determine whether patient safety, family experience, and communication processes would improve after the implementation of a programme to standardize communication with families on rounds. Families, nurses, and physicians coproduced the intervention, which emphasized structured verbal and written

communication; health literacy, including use of plain language; family engagement; and bidirectional communication.

We hypothesized that improving communication between families, nurses, and physicians through this intervention would improve shared understanding about the patient among team members, thereby creating an extra safeguard to prevent medical errors. For example, if a family knows that a child routinely takes an antiepileptic, and a plan to continue this drug is not mentioned during family centered rounds, the family has the opportunity to intervene and prevent a potentially harmful omission. Additionally, we postulated that improving communication with families might improve their hospital experience. We measured communication processes both to determine the level of adherence to various components of the intervention and to examine whether any measured changes in key outcomes were accompanied by particular changes in communication processes.

Methods

Study design

We conducted an intervention study on pediatric units in seven North American hospitals from December 2014 to January 2017. We assigned each site to one of three staggered waves of implementation and data collection. At each site, we measured care processes and outcomes three months pre-intervention, followed by a nine month intervention implementation and iterative refinement period. We then collected three months of post-intervention data at each site, matched by time of year with pre-intervention data collection to control for confounding owing to seasonality and level of resident-physician experience.

Study team

Our study group included more than 100 collaborating parents, nurses, and physicians, including health services researchers, medical educators, hospitalists, communication experts, and health literacy experts. Parents and nurses were integrated into every aspect of the study, from development and refinement of the intervention to creation of data instruments.

Participants

All patients, resident physicians, nurses, and medical students on the study units, as well as English, Arabic, Chinese, Russian, and Spanish speaking parents, guardians, or care givers of patients aged less than 18 years were eligible to participate in the study. The languages we included represented those most commonly spoken across study sites. We obtained verbal consent from parents and medical students and written informed consent from resident physicians and nurses to conduct interviews and surveys. Attending physicians participated in the intervention but were not asked to complete surveys so did not need to provide consent. We obtained waivers of consent to review patient charts. Participants received small incentives (eg, snacks).

Participating sites

We collected data from inpatient pediatric medical (non-intensive care) units at one Canadian and six US teaching hospitals, ranging in size from 189 to 540 beds. Participating pediatric residency programs ranged in size from 35 to 190 residents. Study units at each hospital included general pediatrics patients; some also included specialty care patients (pulmonary/endocrinology, hematology/oncology, and surgical; three sites) or complex care patients (one site). Units had an average daily patient number of 10 to 30 patients. All sites were acute care hospitals. Three sites were freestanding children's hospitals, three were pediatric hospitals within larger systems, and one was a pediatric unit within an adult hospital. Over half of the hospitals had double (non-private) rooms.

Rounding practices at baseline

Before the intervention, most sites did not have a formal structure for rounds, and who was present for rounds varied by site. Attending physicians, senior residents, interns, and medical students were always present on rounds, though their specific roles on rounds varied. At most sites, senior residents directed rounds and attending physicians played a supportive role, although at some sites attending physicians directly led rounds. The frequency of bedside nurse presence on rounds in particular varied by site, with only two sites reporting that nurses were present at least 80% of the time. The role of the bedside nurse on rounds also varied. Fellows, social workers, pharmacists, care coordinators or case managers, and child life

therapists were also routinely present for rounds at some sites. Rounds typically lasted two to three hours. Two sites did not conduct rounds at the bedside but rather in a conference room (ie, formulated plans in a conference room without input from patients or their families). Five sites had recently begun bedside rounds (within the past 2-7 years), though the involvement of patients and families on rounds varied.

For sites that practiced bedside rounds, the extent to which rounds actually occurred at the bedside before the intervention varied by volume and acuity of service, day of week (weekend versus weekday), patient characteristics (eg, whether the family spoke English or whether there were active social problems), hospital unit, and provider preference. Health literacy principles were not typically followed, medical jargon was frequently used, and presentations were often directed at the senior resident or attending physician rather than the patient or family. Written updates were not typically provided to families during rounds. The family's role on rounds was typically passive-restricted to listening to the presentation and occasionally providing some clarifying information or asking questions at the end.

Intervention development

A team of parents, nurses, and physicians, including health services researchers, medical educators, hospitalists, communication experts, and health literacy experts, coproduced the intervention—Patient and Family Centered I-PASS. Our overarching goal was to redesign rounds to make them more

Box 1: Patient and Family Centered I-PASS intervention

Structured verbal communication during rounds

- Structured communication framework based on I-PASS mnemonic
- I-Illness severity (family reports if child was better, worse, or same); nurse input solicited
- P-Patient summary (brief summary of patient presentation, overnight events, plan)
- A-Action list (to-dos for day)
- S-Situation awareness and contingency planning (what family and staff should look out for and what might happen)
- S-Synthesis by receiver (family reads back key points of plan for day, prompted by presenter, supported by nurse as needed)
- Emphasized:
- Family engagement (eg, family speaks first, shares questions and concerns first on rounds)
- Health literacy (using plain language, eg, fever, not febrile)
- Bidirectional communication (ie, synthesis by receiver)
- Interprofessional and nurse engagement (nurses speak early on rounds, nurse-physician huddles)

Structured written communication during rounds

- Rounds report
- Daily written summary of rounds for family organized in I-PASS format
- Completed in real time on whiteboard (five sites) or paper (two sites)

Training and learning

- Interactive learner specific workshops for faculty (one hour), residents (2-3 hours), and nurses (15 minutes) reinforced by:
 - Simulation and role play exercises
 - Computer based video modules
- Family and patient orientation and rounds brochure

Strategies to support teamwork and implementation

- Mid-shift afternoon and overnight nurse-physician huddles to address patient care issues and family concerns
- Structured weekly observations, assessment, and feedback by trained observers (physician faculty and nurses) to improve team performance on rounds
- Sustainability campaign (development of a logo, posters, and other materials to promote the programme and provide visual reminders for the team)

family centered and to improve the effectiveness of communication using structured methods of high reliability communication. We did so through an iterative, consensus building process through a series of teleconferences and in-person meetings informed by a review of the literature and our previous research.⁸ 13

Intervention

The intervention consisted of: (1) a structured high reliability communication framework for rounds bolstered by health literacy (including using plain language, eg, saving "fever" instead of "febrile"), 14 family engagement, and bidirectional communication principles, organized around the I-PASS mnemonic (Illness severity, Patient summary, Action list, Situation awareness and contingency planning, Synthesis by receiver), adapted for this study; (2) a "rounds report," a written (whiteboard or paper) daily summary of rounds for families provided in I-PASS format and completed in realtime; (3) a rounds training and learning programme for interprofessional team members; and (4) the introduction of several process changes to support teamwork and implementation of the programme. including mid-shift nurse-physician huddles to

discuss patient care issues or family concerns, structured observations of bedside rounds with feedback to improve team performance on rounds, and a sustainability campaign (box 1).

The structured communication framework we developed in the I-PASS Resident Handoff Study⁸ required adaptation for use in the current study for two reasons: this previous study focused exclusively on communication during handoffs, not other types of communication during hospital admission, such as on rounds; and it included resident physicians only, not nurses or patients and families. Therefore, the use of the I-PASS format needed to be modified to be appropriate both for rounds and for a wider group of people. In the current study, we sought to have the family report illness severity at the beginning of rounds, with input from the bedside nurse. Resident physicians then reported the patient summary, action items, and situation awareness and contingency planning on rounds using plain language. The synthesis was ideally provided by the family, again with support from the bedside nurse as needed (box 1). These adaptations to rounds were made with extensive input from family members, nurses, and physicians from across participating centers, Box 2 provides details of how the implementation was rolled out.

Box 2: Details about Patient and Family Centered I-PASS programme. Based on TIDieR (Template for Intervention Description and Replication)

Name

1.

• Patient and Family Centered I-PASS

Why

2.

• To improve and standardize communication on rounds by emphasizing health literacy, family engagement, and bidirectional communication

What

3.

Materials

- Family brochure: orientation to team member (including family) roles and responsibilities on rounds
- Rounds report: daily written real time summary of rounds for family organized in I-PASS format
- Nurse training module (slide deck, voiceover module): nurse and family engagement strategies, nursing role on rounds, health literacy, I-PASS format
- Medical student module (slide deck, role play exercises): family engagement strategies, medical student role, health literacy, I-PASS format
- Resident training module (slide deck, role play exercises): family engagement strategies, resident role, health literacy, I-PASS format
- Attending training module (slide deck, role play exercises): family engagement strategies, team member roles, health literacy, teaching strategies, I-PASS format
- Implementation guide: 62 page "how-to" guide for intervention implementation, designed for site leaders responsible for implementing intervention
- Observation tools (including core items and modules on activating and engaging the family and interprofessional team, patient centered conversation and written information, use of structured communication techniques, and teaching)
- Campaign materials to encourage adoption of the intervention (eg, logo, posters)

4.

Procedures:

Patient/family orientation at admission (facilitated by family brochure)

- Changes to verbal communication on rounds (I-PASS mnemonic, families speak first, families engage in bidirectional communication—eg, read back plan; providers use universal health literacy precautions; nurses speak early on rounds)
- Completion of rounds report on rounds (completed on paper at two sites; on whiteboard at five sites)
- Mid-shift nurse-physician huddles (daytime and overnight to address patient care issues and family concerns)
- Structured weekly observations with assessment and feedback by trained observers (physician faculty and nurses) to reinforce programme, and iteratively improve performance on rounds

Who provided

5.

- Physician leads: pediatric hospitalists who had undergone intervention training
- Nurse leads: bedside or nurse educators or nurse leaders who had undergone intervention training
- Family leads: family partners who had undergone intervention training, helped train residents at some sites

How

6.

- Training conducted in-person, face-to-face at resident orientation or staff meeting (eg, faculty or nurses) or individual meetings
- Some nurses completed online training, independently (ie, nurse voiceover slide deck)
- Reinforcement and feedback provided one-on-one, in person
- In person meetings and teleconferences (over phone and internet) for physician, nurse, family leads to oversee study across sites

Where

7

- Families: at admission, on study unit
- Nurses: staff meeting on study unit or independent voiceover module at location of their choice
- Residents: training programme retreat/orientation, or during teaching conference
- Attendings: staff meeting on unit, small group or individual meetings, or teleconference
- Medical students: at orientation, on study unit

When and how much

Q

- Intervention (trainings and changes to rounds procedures) implemented from March 2015 to January 2017
- Family orientations facilitated by brochure: at every admission
- Nurse training (15 minutes): 680 nurses trained over 42 sessions
- Medical student training (1 hour): 506 medical students trained over 63 sessions
- Resident training (2-3 hours each): 515 residents trained over 34 sessions
- Attending training (1 hour): 182 faculty trained over 26 sessions

Tailoring

9.

• Training modules were streamlined to meet local site scheduling constraints, For example, time allotted by individual residency training programs for the resident training module at resident orientation varied between two hours at some sites and three hours at others

Modifications

10.

• Intervention was iteratively refined during the intervention period based on participant feedback, before post-intervention data collection—for example, synthesis by receiver and the format of the patient summary were refined

How well

11.

Planned:

- Research assistant live observations of rounds
- Post hoc analyses of research assistant recordings of rounds observations
- Quality improvement observations by faculty and nurses of team performance

12

Actual:

- Research assistant live observations of rounds (see fig 2)
- Post hoc analyses of research assistant recordings of rounds observations (see fig 2)
- Quality improvement observations by faculty and nurses of team performance—varied from 35% adherence for nurse participation on rounds to 100% adherence for families speaking first on rounds

Study outcomes

Our primary outcome was the rate of medical errors, including harmful errors (preventable adverse events) and non-harmful errors. Additional measures of interest were rates of non-preventable adverse events, family experience, and communication processes.

Medical errors and adverse events

We measured medical errors and adverse events per 1000 patient days using an established systematic safety surveillance method. 6 8 15-18 A medical error was defined as a failure in care process (eg, administering penicillin to a patient with a known penicillin allergy). Medical errors included those that led to harm (harmful error; also known as preventable adverse event) or no harm (non-harmful error) (see supplementary figure 1). An adverse event was defined as a harm to a patient related to medical care (eg, anaphylaxis due to penicillin). Adverse events included those that are due to an error (eg, anaphylaxis due to penicillin in a patient with a known penicillin allergy) (preventable adverse event, also known as harmful error) and not due to an error (eg, anaphylaxis due to penicillin in a patient with no previously known drug allergies) (nonpreventable adverse event).

After completion of standardized training, every weekday (weekend data were collected on Monday), site research clinicians collected data on suspected medical errors and adverse events using a well established surveillance method.^{6 8 15-18} This method entailed reviewing medical records of all patients admitted to hospital on each study unit, post-shift provider (eg, physician and nurse) surveys, and hospital incident reports. In addition, to solicit patient and family input in the error surveillance process, our group modified this standard surveillance process to include family safety interviews of parents, guardians, or caregivers of eligible in-patients about suspected safety events. 19 For longer stay patients, we conducted family safety interviews before discharge and every seven days.

Two trained physician reviewers blinded to preintervention and post-intervention status categorized all suspected medical errors and adverse events (gathered through the standard surveillance method or family safety interviews) as either adverse events, non-harmful errors, or exclusions (pre-consensus agreement=68.9%; $\kappa=0.53$, 95% confidence interval 0.48 to 0.58). Physician-reviewer pairs subcategorized adverse events as preventable or non-preventable (preconsensus agreement=83.5%; κ =0.64, 0.54 to 0.74). If evidence suggested that an error had occurred, the adverse event was deemed preventable, whereas if evidence of an error was not found, the adverse event was deemed non-preventable. Physician-reviewer pairs then reconciled discordant categorizations through consensus.

Family experience

We measured family experience using a survey administered before discharge to two randomly

selected families each week per site. We developed, cognitively tested, and piloted the survey at a non-intervention site (BCH) and translated it into Arabic, Chinese, Russian, and Spanish. This 10-15 minute survey had a Flesch-Kincaid²⁰ grade level of 5.9 (ie, a nearly sixth grade reading level) and was administered by site research assistants verbally, electronically, or on paper. The survey asked parents to rate experience during and after rounds, with written communication, with physicians and nurses, and during the overall hospital stay.

Communication processes

To measure communication processes, research assistants conducted one hour weekly in-person observation sessions of rounds per site, simultaneously completing a real time assessment tool for each patient and audio recording rounds. A median of 3 (interquartile range 2-5) patients per session were observed. Using an iterative process, including pilot testing, we developed structured observation tools to measure the quality of communication on rounds, family and nurse engagement, and the duration of rounds. Site research clinicians blinded to preintervention versus post-intervention status then conducted post hoc analyses of a subset (two patients each week per site) of rounds audio recordings using a structured assessment tool to measure adherence by the team members on rounds to evidence based communication processes (eg, using plain language effectively during rounds).

Other variables of interest

We collected self reported parent and provider sociodemographic data from surveys, and patient demographic data from hospital administrative data (table 1). Variables included participants' age, sex, race; patient insurance and complex chronic conditions (marker of medical complexity on basis of international classification of diseases, clinical modification, ninth and 10th revisions)^{21 22}; parent or caregiver relationship to patient, language, education, and income; and provider position or year of training.

Statistical analyses

To compare error and adverse event rates (per 1000 patient days) pre-intervention versus post-intervention, we used Poisson regression estimated via generalized estimating equations (GEE) to account for clustering of patients within site; the GEE estimation procedure allows for overdispersion. When initially designing the study, we did not plan to include incidents captured solely through family reporting (family safety interviews) in our primary outcome measure because this method had not been adequately tested. However, once we had determined that incidents captured through family safety interviews were valid and that these reports generated safety data that were not captured through the standard surveillance method, 19 we elected to modify our design to include data derived from both family safety interviews and standard

Characteristics	Pre-intervention	Post-intervention	P valuet	
Patients‡	n=1574	n=1532		
Mean (SE) age (years)	6.9 (1.7)	7.3 (1.7)	0.65	
Sex:				
Female	767 (49.7)	765 (51.3)	0.94	
Male	775 (50.3)	727 (48.7)		
Race:				
Asian	74 (5.4)	58 (4.5)	0.38	
Black	266 (19.6)	221 (17.3)		
White	708 (52.1)	600 (46.9)		
Other	312 (22.9)	400 (31.3)		
Insurance:				
Government/public	1051 (68.3)	1072 (71.7)	0.58	
Non-government/private	487 (31.7)	423 (28.3)		
Complex chronic conditions count§:				
0	1171 (74.4)	1084 (70.8)	0.74	
1	268 (17.0)	334 (21.8)		
≥2	135 (8.6)	114 (7.4)		
Mean (SE) length of stay (days)	5.6 (1.7)	5.1 (1.3)	0.70	
Parents¶	n=947	n=890		
Mean (SE) age (years)	36.0 (1.2)	36.3 (1.2)	0.50	
Sex:				
Female	731 (81.7)	648 (79.9)	0.51	
Male	164 (18.3)	163 (20.1)		
Race/ethnicity:				
Hispanic or Latino	193 (21.5)	181 (22.4)	0.82	
Asian, non-Hispanic	62 (6.9)	50 (6.2)		
Black, non-Hispanic	158 (17.6)	122 (15.1)		
White, non-Hispanic	424 (47.2)	376 (46.6)		
Other race, non-Hispanic	62 (6.9)	78 (9.7)		
Relationship to patient:				
Parent	853 (95.5)	765 (95.4)	0.84	
Grandparent	21 (2.4)	20 (2.5)		
Guardian	4 (0.5)	7 (0.9)		
Other	15 (1.7)	10 (1.3)		
Language most comfortable speaking with providers:	. ,			
English	804 (92.1)	718 (90.1)	0.74	
Spanish	47 (5.4)	58 (7.3)		
Other	22 (2.5)	21 (2.6)		
How well parent speaks English:	. ,	, ,		
Not at all	17 (1.9)	26 (3.2)	0.50	
Not well	20 (2.2)	32 (4.0)		
Well	66 (7.4)	62 (7.7)		
Very well	788 (88.4)	690 (85.2)		
Satisfaction with ability to read English:		, ,		
Very dissatisfied	30 (3.4)	39 (4.9)	0.30	
Somewhat dissatisfied	8 (0.9)	19 (2.4)		
Satisfied	84 (9.5)	41 (5.2)		
Somewhat satisfied	22 (2.5)	18 (2.3)		
Very satisfied	738 (83.7)	679 (85.3)		
Education:	,	/		
Less than high school	30 (3.4)	28 (3.5)	0.18	
Some or all of high school	301 (34.2)	231 (29.0)		
Some college or more	549 (62.4)	538 (67.5)		
Annual household income (\$):				
(14 999	88 (15.3)	127 (20.6)	0.17	
15 000-49 999	184 (32.0)	192 (31.1)		
50 000-99 999	154 (26.8)	155 (25.1)		
≥100000	149 (25.9)	143 (23.2)		
Physicians¶	n=343	n=252		
Mean (SE) age (years)	28.5 (0.3)	28.2 (0.3)	0.33	
Sex:	20.3 (0.3)	20.2 (0.3)	0.55	
Female	236 (69.0)	167 (67.1)	0.52	
Male	106 (31.0)	82 (32.9)		
Mate	100 (71.0)	02 (12.7)		

Continued

Characteristics Pre-intervention Post-intervention P valuet Hispanic or Latino 23 (7.0) 9 (3.6) Asian, non-Hispanic 60 (18.1) 39 (15.7) Black, non-Hispanic 11 (3.3) 11 (4.4) White, non-Hispanic 226 (68.3) 176 (71.0) Other race, non-Hispanic 11 (3.3) 13 (5.2) Training level: Training level: Training level: Postgraduate year 1 163 (47.5) 101 (40.1) Postgraduate year 2 64 (18.7) 31 (12.3) Postgraduate year 3 68 (19.8) 42 (16.7) 0.11 Medical student 44 (12.8) 77 (30.6) 0.11 Other 1 (0.4) 1.04 1.04 Nurses¶ n=191 n=139 1.04 1.04 Nurses¶ n=191 n=139 0.43 0.45 0.45 0.45 0.45 0.45 0.45 0.45 0.45 0.45 0.45 0.45 0.45 0.45 0.45 0.45 0.45 0.45 0.45	Table 1 Continued				
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Advanced practice 9 (4.7) 5 (3.6)	2	81 (42.4)	50 (36.0)	0.71	
	3	36 (18.9)	28 (20.1)		
Other 4 (2.1) 3 (2.2)	Advanced practice	9 (4.7)	5 (3.6)		
	Other	4 (2.1)	3 (2.2)		

^{*}All percentages reflect non-missing data. Missing data ranged from 2.0% to 9.7%, except for patient race (15.0%) and annual household income (35.1%). Missingness was similar in pre-intervention and post-intervention cohorts.

surveillance in the primary outcome measure. As a sensitivity analysis, we ran a second set of Poisson regression models excluding those medical errors and adverse events captured solely through family safety interviews, consistent with our original analysis plan.

On the basis of preliminary data, we anticipated that we needed to accrue a minimal sample size of 2430 patient days of data per period, to have 80% power to detect a 20% reduction in medical errors, assuming an α =0.05 type I error rate. Across multiple sites, we anticipated accruing several times this many patients.

For experience and communication process data, we compared per cent top box ratings (choosing the top most response on a scale; eg, "excellent" or 5 out of 5) pre-intervention versus post-intervention using a GEE χ^2 test for binary outcomes, clustered by site.

We analyzed variables that were potential confounders, including patient, parent, and provider characteristics, in the pre-intervention versus postintervention periods to ensure no variables differed statistically significantly and required further adjustment in analyses. For variables with more than 5% missing data, we used multiple imputation appropriate for missing data in clustered studies. 23-25 Distributions of possible confounders were comparable between pre-intervention and post-intervention periods. For simplicity, we present unadjusted results, accounting for clustering by site.

For our two facet primary outcome (errors, which includes harmful and non-harmful errors), we applied a Bonferroni correction, considering P<0.025 to be significant. For secondary analyses, we considered P<0.05 to be significant. We used REDCap²⁶ to collect and manage study data, and SAS 9.4 (SAS Institute) for analyses.

Patient and public involvement

Families of pediatric patients were involved at all stages of the research process, including designing the study, developing the family safety reporting method, refining data forms, co-producing the intervention, interpreting findings, and writing the manuscript to disseminate the intervention and findings. To facilitate family member involvement, we formed a 15 member Family Advisory Council that was chaired by leading national patient safety advocates (HH, DAM) and included parents from all participating study sites. Family members also actively participated in all subcommittees of the study, including the study's coordinating council (the study leadership group), scientific oversight committee, and the various subcommittees responsible for developing each element of the intervention (eg. written communication tool, campaign, rounds subcommittees). At some sites, families also participated in physician trainings about the

[†]P values for variables with more than 5% missing data were calculated with multiple (300) imputations.

[‡]Patient characteristics derived from hospital administrative data.

^{\$}The complex comorbidities conditions system uses ICD-9-CM (international classification of diseases, ninth revision, clinical modification) codes to capture children with complex medical conditions, namely those with medical conditions expected to last 12 months or more that involve several different organ systems or one organ system severely enough to require specialty pediatric care and hospitalization in a tertiary care center.21 ¶Characteristics derived from self reported survey data.

intervention and in rounds observations to provide feedback to the team about their communication on bedside rounds. We identified family members through their participation as advisors in our previous research, through facilitated introductions by site investigators (typically through family advisory councils at participating sites), and through national patient advocacy organizations.

Results

Sample characteristics

We reviewed 3106 patient admissions (1574 preintervention, 1532 post-intervention) for medical errors and adverse events, or a total of 13171 patient days (6326 pre-intervention, 6845 post-intervention). This represented all patients admitted on the study units during the study period. Patient characteristics were similar in pre-intervention and post-intervention periods, including age, race, insurance, number of complex chronic conditions, and length of stay (table 1).

Overall, 2148 parents (83.6% of those approached), 435 nurses (97.3%), 586 residents (95.6%), and 203 medical students (99.5%) consented to participate in the study. We collected data from 2034 family safety interviews (95.9% response rate) and 1224 family experience surveys (97.8% response rate) and conducted 654 rounds observations.

Medical errors and adverse events

After implementation of the intervention, the overall medical error rate (the sum of harmful and non-harmful errors) did not significantly change (41.2 per 1000 patient days, 95% confidence interval 31.2 to 54.5 pre-intervention v 35.8, 26.9 to 47.7 post-intervention, P=0.21) (table 2). However, harmful errors (ie, preventable adverse events) decreased (20.7 (15.3 to 28.1) v 12.9 (8.9 to 18.6), P=0.01). Non-harmful errors were unchanged (20.0 (13.2 to 30.2) v 22.0 (15.1 to 32.1), P=0.50). Non-preventable adverse events also decreased (12.6 (8.9 to 17.9) v 5.2 (3.1 to 8.8), P=0.003). Box 3 provides examples of non-harmful errors, harmful errors, and non-preventable adverse events.

Sensitivity analysis

Excluding incidents detected solely through family safety interviews had no substantive effect on our main findings. Using this metric, overall rates of medical errors remained unchanged pre-intervention versus post-intervention (36.4 (26.9 to 49.1) pre-intervention

v 31.4 (23.1 to 42.8) post-intervention, P=0.22). Harmful errors (ie, preventable adverse events) decreased significantly (18.3 (13.3 to 25.3) v 10.7 (7.2 to 16.1), P=0.01) (see supplementary table 1).

Family experience

Top box ratings for six of 25 items of family experience improved, including family assessment of understanding on rounds (53.9% (95% confidence interval 44.6% to 63.0%) pre-intervention v 62.8% (53.7% to 71.1%) post-intervention, P=0.03), receipt of written updates (15.6% (8.9% to 25.9%) v 33.7% (23.9% to 45.2%), P<0.001), and understanding of written updates (46.5% (34.2% to 59.3%) v 57.9% (46.4% to 68.6%), P=0.04) (fig 1). Several items pertaining to family experience with nurses also significantly improved post-intervention, including shared understanding with nurses (55.3% (48.0% to 62.4%) v 65.4% (58.4% to 71.8%), P=0.02), whether nurses addressed concerns (61.2% (53.4% to 68.5%) v 70.2% (62.9% to 76.6%), P=0.02), and whether nurses made family members feel a part of the healthcare team (63.2% (53.5 to 71.9) v 70.7% (61.4% to 78.6%), P=0.04).

No significant improvements in family experience occurred specifically with physicians intervention, including shared understanding with physicians (54.0% (44.6% to 63.1%) v 59.2% (49.9% to 67.8%), P=0.14), whether physicians addressed concerns (61.8% (52.5% to 70.3%) v 65.9% (56.8% to 73.8%), P=0.22), and whether physicians made family members feel a part of the healthcare team (57.7% (45.9 to 68.7) v 60.9% (49.2% to 71.4%) P=0.34). However, family understanding on rounds and receipt and understanding of written updates, reflected communications between physicians, nurses, and families.

No components of family experience worsened significantly. Overall experience with hospital stay was unchanged.

Communication processes

Family centered rounds occurred more frequently post-intervention (72.2% (53.5% to 85.4%) v 82.8% (64.9% to 92.6%), P=0.02). Top box family engagement on rounds improved significantly (55.6% (32.9% to 76.2%) v 66.7% (43.0% to 84.1%), P=0.04), as did nurse engagement (20.4% (7.0% to 46.6%) v 35.5% (17.0% to 59.6%), P=0.03) (fig 2). Frequency of teaching on rounds was unchanged (73.4% (46.0% to 90.0%) v 72.4% (45.3% to 89.3%), P=0.78).

Table 2 Medical errors and adverse events before and after implementation of Patient and Family Centered I-PASS								
	Pre-intervention (n=1574)		Post-intervention (n=1532)					
Incident type	No of incidents	Rate/1000 patient days (95% CI)	No of incidents	Rate/1000 patient days (95% CI)	P value			
Overall medical errors	259	41.2 (31.2 to 54.5)	245	35.8 (26.9 to 47.7)	0.21			
Non-harmful errors	139	20.0 (13.2 to 30.2)	164	22.0 (15.1 to 32.1)	0.50			
Harmful errors*	120	20.7 (15.3 to 28.1)	81	12.9 (8.9 to 18.6)	0.01			
Non-preventable adverse events	72	12.6 (8.9 to 17.9)	31	5.2 (3.1 to 8.8)	0.003			
*Also known as preventable adverse events.								

Box 3: Examples of medical errors and adverse events

Non-harmful errors

- 9 year old admitted for leg pain—historical information in care plan inaccurately listed patient as having sickle cell anemia
- 14 year old with severe cerebral palsy—blood cultures not obtained as directed when patient spiked a fever
- 11 year old with exacerbation of cystic fibrosis—dose of antibiotics missed (eight hour delay)
- 1 week old infant with jaundice—unintentionally received an extra dose of vitamin D after miscommunication on transfer between two units
- 3 year old with neurological abnormalities and gastrostomy dependence—flow sheet had been set for incorrect age (5-12 year) causing risk for improper documentation
- 2 month old with congenital heart disease—contrary to care plan, was given a 50 mL bolus feed instead of planned very gradual 5 mL/h advance
- 6 year old with metabolic disorder on a special metabolic formula diet—received six bottles of incorrect metabolic formula (missing a key ingredient) before the error was recognized
- 9 year old with lymphadenitis—delay in vancomycin being administered due to pump failure

Harmful errors (ie, preventable adverse events)

- 4 month old with suspected mitochondrial disorder—delay in treatment to address vomiting, low albumin, and increased partial thromboplastin time due to lost blood samples
- 3 month old with congenital heart disease—nasopharyngeal airway inadvertently inserted into esophagus and desaturations experienced
- 4 year old admitted with history of drop attacks and seizures (also with history of vertigo consistently before events)—fell and hit head after a seizure while being transferred from wheelchair to chair
- 17 year old with inflammatory bowel disease flare—two day delay in consultation with pain treatment service; patient ultimately required a narcotic drip for pain control
- 3 month old receiving parenteral nutrition for failure to thrive—experienced hypoglycemia requiring glucose injection due to delayed recognition of malfunctioning total parenteral nutrition pump
- 2 month old admitted for fever and upper respiratory tract symptoms—became infected with norovirus while in hospital
- 2 month old with feeding intolerance and failure to thrive on nasogastric feeds—failed to gain weight due to incorrect volume of feeds being given
- 16 year old with chromosomal abnormality, seizure disorder—developed skin breakdown from oxygen tubing

Non-preventable adverse events

- 10 month old with urosepsis—delay in drawing follow-up laboratory tests and correcting electrolyte disturbances due to difficulty in taking blood samples
- 12 year old receiving chemotherapy who developed hyperglycemia from steroids
- 16 year old with anal abscess and immunodeficiency—developed anaphylaxis while receiving immunotherapy (no history of previous reaction)
- 8 year old with Chiari malformation—developed Clostridium difficile colitis after surgical procedure
- 6 year old with bone marrow disorder—had intravenous infiltrate while out on pass with family
- 3 year old with complicated pneumonia requiring chest tubes—chest tube developed a clog with leak
- 13 year old who underwent endoscopic sinus surgery—developed postoperative emesis with narcotics for which ondansetron was required
- 12 year old with pneumonia—developed respiratory depression and over-sedation from narcotic treatment, requiring narcan

The average duration of rounds per patient did not significantly change post-intervention (8.5 minutes (95% confidence interval 6.8 to 10.2) v 10.2 minutes (7.8 to 12.6), P=0.13). Frequency of adherence to key communication behaviors significantly improved, including families expressing concerns at the start of rounds (18.2% (5.6% to 45.3%) v 37.7% (17.6% to 63.3%), P=0.03) and synthesis being completed on rounds (4.7% (0.7% to 25.2%) v 26.5% (12.7% to 47.3%), P=0.02) (fig 2). Parent reporting of child's illness severity (eg, better or worse) on rounds increased (28.8% (13.0% to 52.0%) v 43.5% (23.8% to 65.4%), P=0.08). Effective use of plain language on rounds remained unchanged (28.8% (9.2% to 62.5%) v 34.7% (12.6% to 66.3%), P=0.36).

Discussion

In this seven center study, we found that although overall medical errors were unchanged, harmful medical errors decreased by 38% after implementation of a structured verbal and written communication intervention for rounds that emphasized health literacy, family engagement, and bidirectional

communication. The improvements in harmful errors occurred without a statistically significant increase in duration of rounds or decrease in the amount of teaching on rounds. Additionally, our intervention was associated with improvements in key communication behaviors, family and nurse engagement on rounds, and several measures of family experience. Our findings suggest that implementing a standardized, structured programme to improve communication with patients, families, and the interprofessional team on rounds could improve patient safety and other outcomes. Our findings also provide an evidence base that supports calls by physician, 27-29 nursing, 30 and family 31 32 advocacy organizations to improve the family centeredness of care.

Strengths and limitations of this study

A major strength of our research was the method we used to systematically measure patient safety events across multiple hospitals. ¹⁹ ³³ To our knowledge, the relation between healthcare provider-family communication and patient safety has not been systematically measured in a multicenter study in the inpatient setting. Other studies exploring

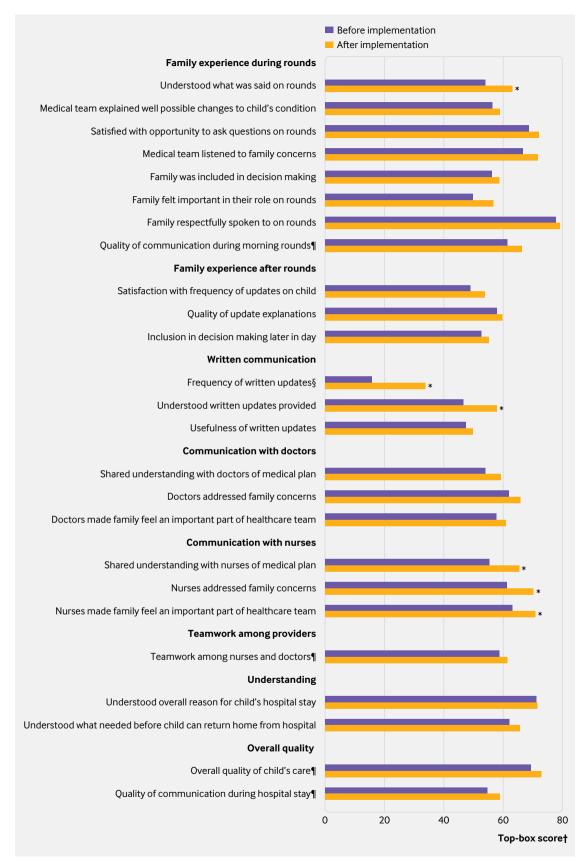


Fig 1 | Family experience before and after implementation of Patient and Family Centered I-PASS. Measured through surveys administered before discharge, six of 25 elements of family experience improved significantly after implementation of the intervention. *P<0.05. †Top box=top most response on a scale (eg, "excellent"). Response options for scale="Not at all", "Slightly", "Somewhat", "Very", "Extremely", except when marked by § ("Never", "Rarely", "Sometimes", "Usually", "Always") or ¶ ("Poor", "Fair", "Good", "Very good", "Excellent")

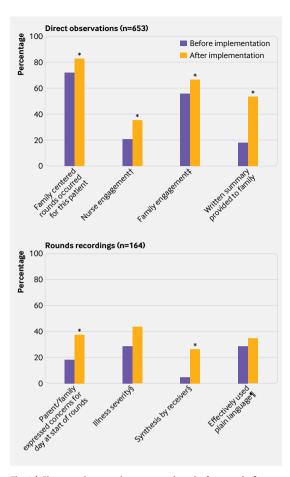


Fig 2 | Changes in rounds process data before and after implementation of Patient and Family Centered I-PASS based on: (top) real time structured direct observations of rounds and (bottom) post hoc analyses of audio recordings of a subset of rounds observations. After implementation of the intervention, frequency of family centered rounds, nurse and family engagement on rounds, and provision of written summaries to families significantly increased, as did families expressing concerns at the start of rounds and synthesizing (ie, reading back) key action items and contingency plans. Families reporting illness severity improved but not significantly. Effective use of plain language by providers on rounds was unchanged. †Top-box=5, "Nurse present and paying attention to rounds. Answers questions, asks questions, and speaks up to fill in missing details and correct misinformation without prompting". ‡Top box=5, "Family completely engaged in rounds. Answered questions, asked questions, and spoke up to fill in missing details and correct misinformation without prompting". §Top-box=3, "Yes, completely". ¶Topbox=4, "To a great extent"

healthcare provider-patient or healthcare provider-family communication were single center,³⁴ did not systematically measure safety outcomes,³⁵ or occurred in the setting of outpatient family medicine.³⁷ Our multicenter design allowed us to sample a large number of patients (>3000) from diverse backgrounds (22% Latino, 15% black/African-American, 10% limited English proficiency). The size and scope of our study makes it one of the largest studies of healthcare provider-family communication performed to date.

Another strength of our study is that we integrated family safety reporting into our two step surveillance method. Unlike other studies using systematically measured patient safety outcomes, we actively solicited family safety reports and handled data collected from family reports of medical errors and adverse events the same as data collected from any other source (eg, provider reports, hospital incident reports) by having these reports systematically investigated and rated by our team of nurse and physician reviewers. The decision to include these data was predicated on previous research we conducted that validated the use of families as a source of patient safety data. ^{19 33}

A third strength of our study, as well as our intervention, is that co-production with families informed all aspects of the project, which we believe greatly improved the quality of data we collected, and the potency of the intervention. We sought to prioritize family involvement as a key component of a multidisciplinary collaboration that involved nurses, physicians, researchers, medical educators, communication experts, and health literacy experts in all aspects of study design, implementation, data collection and analysis, and interpretation and dissemination of findings.

Our study has several limitations. First, our study design precludes establishing causality between the intervention and error rates or other outcomes. Studies of quality and safety improvement interventions are often not amenable to classic randomized trial designs.^{8 38} Because we believed that our intervention was highly unlikely to harm patients, our lack of equipoise precluded patient level randomization or a crossover design. We opted against a step-wedge design for pragmatic reasons, including the logistical difficulty of randomizing the timing of introducing a complex intervention involving physicians, nurses, and families, and ensuring that no simultaneous cointerventions were occurring that might confound results. Because our before and after intervention design is at risk of confounding due to secular trends, we cannot be certain that it was our intervention that caused the improvements in patient safety that we observed. However, previous research³⁹⁻⁴¹ suggests that a change in harmful errors of the magnitude we observed is unlikely to have occurred from secular trends alone, especially over such a short period. Additionally, given that we observed concurrent improvements in rounds communication processes, family experience, and patient safety outcomes as hypothesized, and because findings were generated from data gathered across seven sites (limiting the possibility that changes were due to unmeasured site specific confounders), we believe that the changes observed were related to the intervention.

Another limitation is that medical error and adverse event classification is a complex and imperfect process that could lead to misclassification.^{6 8} The reliability of our ratings was similar to or better than previous studies, however, and we reconciled all discordant classifications.^{6 8} Moreover, because final error and

adverse event classifications were made only after post-intervention data collection was complete and performed by secondary reviewers blinded to pre-intervention and post-intervention status, it is unlikely that reviewer bias could explain the significant differences in preventable adverse event and total adverse event rates observed.

Thirdly, although rates of limited English proficiency⁴² and lower education levels⁴³ in our study population were fairly representative of the overall US population, most parents in our sample reported speaking and reading English well and had attended some college. It is possible that patient engagement and other results might differ in populations with more limited health literacy. To minimize this concern, our intervention included health literacy training and reinforced the use of health literacy principles in daily communications to deal with the needs of families with lower levels of education or health literacy.

Finally, adherence to some aspects of the designed intervention varied, including the extent of nurse engagement, use of plain language, and frequency of synthesis of plans by family members on rounds. Improvements were modest for some process measures and outcomes. Despite imperfect adherence, we found improvements in safety and quality outcomes postimplementation, suggesting that further refining the intervention, improving its implementation, and focusing on culture change might lead to greater improvements in patient safety. As iterative changes are made, future research should continue to measure the effectiveness and sustainability of the intervention, particularly as the current project gathered data for a relatively short period post-intervention.

Although improvement in rates of harmful errors occurred despite limited adherence to some processes and only modest improvements in secondary outcomes and process measures (eg, family experience, nurse/ family engagement on rounds), this pattern is typical of what we and others have observed when introducing a "bundle" of complementary safety improvements. For example, one study found major improvements in surgical mortality after implementation of a landmark surgical checklist, despite only 57% adherence with all six safety process indicators tracked post-intervention.³⁸ Likewise, despite marked improvements in safety, adherence to key processes was less than 50% in the previous I-PASS Resident Handoff Study.8 The concept of an "accident chain" (where multiple process vulnerabilities and failures in sum, rather than one sole cause, lead to an accident) could explain this. 44 45 An improved decision or work process at any of these points can potentially interrupt the chain and avert the accident; consequently, even a modest process improvement at one step, coupled with complementary modest process improvements at other steps in the process, can yield major benefits. Patient safety bundles act to influence decisions and processes at multiple steps in the accident chain. Our intervention might have similarly served to improve safety.

Comparisons to other research

As evidence has accumulated that efforts to decrease miscommunications between healthcare providers are associated with improvements in patient safety, relatively little research has tackled whether efforts to mitigate other types of communication failures, including healthcare providers' miscommunications with patients and families, might be associated with patient safety benefits.^{34 46} Some evidence suggests that improved teamwork might be associated with improved patient outcomes, 47 48 but empirical evidence about the relation between patient/family centered care and patient safety is limited.^{34 46} A recent single center study in adults in intensive care units showed that a multifaceted intervention emphasizing patient and care giver engagement and communication was associated with reductions in preventable adverse events and improvements in patient and care giver experience.³⁴ Our multicenter study extends this work by demonstrating application beyond a single intensive care unit setting and suggests the ability to generalize a family centered communication programme across multiple centers. Our study also included a novel approach to family safety reporting.

Our current study also addresses several limitations of a previous single center study conducted by our group, in which we found that implementing a family centered, interprofessional night time communication intervention bundle was associated with improved parent and provider experience and shared understanding. ¹³ We greatly refined the intervention to better integrate it into provider workflow and more effectively engage families and nurses in all study aspects. Importantly, we also directly measured safety outcomes using an expanded active surveillance methodology that included family safety reporting. ³³

Meaning, implications, and future research

Our finding that harmful errors decreased after our intervention was consistent with our study's central hypothesis. It could be that improving family communication decreases harmful medical errors because families serve as an extra safeguard in care (eg, vigilant partners who can detect hazardous circumstances and errors early in their evolution) before errors lead to harm.

The fact that rates of overall medical errors did not change significantly in our study was unexpected, and seems to have been secondary to a lack of change in non-harmful errors, suggesting that our intervention might have differentially affected harmful and non-harmful errors. Further research is needed to further explore the causal links between our intervention and harmful and non-harmful errors. Robust experimental or quasi-experimental study designs with control groups could help shed further light on this issue.

The marked reduction in adverse events determined to be non-preventable was unexpected. We trained raters to classify adverse events as non-preventable if evidence was not found to establish that an adverse event was caused by an error. While our adjudication method is well established and performed reliably in this study, it might be overly restrictive at times and could underestimate the number of adverse events that are preventable. Additionally, the understanding of adverse event preventability has evolved over time in patient safety. For example, hospital acquired central line infections were once generally considered nonpreventable because there is typically no observable break in sterile technique or other observable error that results in infection. We now know, however, that interventions can prevent nearly 80% of central line infections, and thus these types of infections are generally considered preventable. 49 50 In a similar way, it is possible that improved communication and engagement with patients and families might prevent or preclude the opportunity for some adverse events to occur through mechanisms that are not immediately apparent. Additional research is required to further explore this possibility.

The improvement in families' reported experience with nurses but not physicians was also of interest. These results might reflect the fact that nurses typically spend more time at the bedside than physicians; thus improvements in overall team communication may be more readily reflected in nursing metrics. Alternatively, nurses might have experienced a greater relative change in their roles and involvement in rounds, thus leading to larger improvements in ratings of their communications with families. Despite the observed improvement in nurse engagement on rounds, the changes were modest, indicating that there remains room for greater engagement. Nevertheless, our findings were consistent with what we found in our pilot study in that family reports of experience with nurses improved after implementation of a family centered interprofessional night time communication intervention.¹³ Taken together, these findings suggest that small improvements in nursing engagement might lead to larger overall improvements in patient experience. The effect on family experience of improving nursing engagement on rounds and nursephysician communication warrants further study.

Also worthy of further study is whether our intervention could be adapted for use in adult patient populations. We posit that with modifications of the intervention, our intervention could be applied to diverse settings and patient populations beyond pediatrics, including adult medicine, intensive care, geriatric medicine, long term care and rehabilitation, and oncology. Our intervention also occurred in academic centers and further study is warranted to examine its effectiveness in non-academic centers.

We found that while changing routine hospital work processes and culture to effectively incorporate patients' and families' voices required a substantial investment of time and effort, doing so has the potential to yield important improvements in harmful errors and experience of care. Further refinements of our intervention are ongoing, which may facilitate making these changes in a manner that could lead to greater improvements with decreased effort and cost.

Conclusion

An intervention to improve healthcare provider-family communication was associated with a reduction in harmful medical errors, reductions in adverse events, improvements in family experience, and improvements in communication processes, although overall errors did not change. These improvements, studied in multiple hospitals, occurred without a major increase in duration of rounds or reduction in teaching. Further research is required to test the sustainability and feasibility of our intervention, as well as its generalizability across diverse health systems, specialties, populations, settings, hospital types. However, our intervention, with its focus on structured communication, health literacy, family engagement, and bidirectional communication, is likely to be applicable, with adaptation, to a broad range of settings. Our study highlights the value of coproducing interventions and research with families and interprofessional stakeholders in a manner that truly incorporates their input at all stages. Structuring communication between healthcare providers and patients and families to better meets the needs of both could be an important, as yet underappreciated, means to improve the safety of patient care.

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Ethical approval: This study was approved by Boston Children's Hospital (BCH) institutional review board (IRB-P00012045) and participating institutions' institutional review boards, either through individual site approval or reliance agreements with BCH. Participants gave informed consent before taking part. We obtained waivers of consent to review patient charts.

Data sharing: Individual participant data collected during the trial, after deidentification, can be made available to interested parties who follow the process outlined in the supplementary data sharing plan. No other documents are available.

Transparency: The lead author (AK) affirms that this manuscript is an honest, accurate, and transparent account of the study being reported; that no important aspects of the study have been omitted; and that any discrepancies from the study as originally planned (and, if relevant, registered) have been explained.

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Supplementary appendix: additional table and figures